

# The first nova eruption in a novalike variable: YZ Ret as seen in X-rays and $\gamma$ -rays

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## ABSTRACT

Peaking at 3.7 mag on 2020 July 11, YZ Ret was the second-brightest nova of the decade. The nova’s moderate proximity (2.7 kpc, from *Gaia*) provided an opportunity to explore its multi-wavelength properties in great detail. Here we report on YZ Ret as part of a long-term project to identify the physical mechanisms responsible for high-energy emission in classical novae. We use simultaneous *Fermi*/LAT and *NuSTAR* observations complemented by *XMM-Newton* X-ray grating spectroscopy to probe the physical parameters of the shocked ejecta and the nova-hosting white dwarf. The *XMM-Newton* observations revealed a super-soft X-ray emission which is dominated by emission lines of C V, C VI, N VI, N VII, and O VIII rather than a blackbody-like continuum, suggesting CO-composition of the white dwarf in a high-inclination binary system. *Fermi*/LAT detected YZ Ret for 15 days with the  $\gamma$ -ray spectrum best described by a power law with an exponential cut-off at  $1.9 \pm 0.6$  GeV. In stark contrast with theoretical predictions and in keeping with previous *NuSTAR* observations of *Fermi*-detected classical novae (V5855 Sgr and V906 Car), the 3.5–78 keV X-ray emission is found to be two orders of magnitude fainter than the GeV emission. The X-ray emission observed by *NuSTAR* is consistent with a single-temperature thermal plasma. We detect no non-thermal tail of the GeV emission expected to extend down to the *NuSTAR* band. *NuSTAR* observations continue to challenge theories of high-energy emission from shocks in novae.

**Key words:** stars: novae – stars: white dwarfs – stars: individual: YZ Ret

## 1 INTRODUCTION

### 1.1 Classical and dwarf novae

Accreting white dwarf binaries are called cataclysmic variables, as many of them display two distinct types of violent phenomena that dramatically increase their brightness (Hellier 2001; Warner 2003; Knigge et al. 2011): classical nova eruptions and dwarf nova outbursts. Nova eruptions may strongly affect the evolutionary path of those binaries (Nelemans et al. 2016; Ginzburg & Quataert 2021).

The nova eruption results from a thermonuclear runaway at the bottom of a hydrogen-rich shell of material accreted onto the white dwarf (Bode & Evans 2008; Starrfield et al. 2016). Novae reach optical peak absolute magnitudes in the range  $-10$  to  $-6$  mag and are observed across the electromagnetic spectrum from GeV  $\gamma$ -rays to cm-band radio (see the recent reviews by Poggiani 2018; Della Valle & Izzo 2020; Chomiuk et al. 2020). The less dramatic (peak absolute magnitudes  $\sim 4.6$ ; Patterson 2011), but much more frequent phenomenon is the dwarf nova outburst. A dwarf nova occurs when the accretion disk surrounding a white dwarf switches from a low-viscosity, low-accretion-rate state to a high-viscosity, high-accretion-rate state (Osaki 2005; Hameury 2020). Dwarf novae are prominent X-ray sources (Byckling et al. 2010) and faint radio emitters (Coppejans et al. 2016).

The link between classical and dwarf novae has long been established by the similarities of the white dwarf hosting binaries where these phenomena occur. It is believed that all cataclysmic variables accreting below the rate needed to sustain stable hydrogen burning on the white dwarf (Kato 2010; Wolf et al. 2013) periodically display nova eruptions (e.g. Shara 1989; Patterson et al. 2013; Hillman et al. 2020). It is expected that most novae erupt in systems with a high mass transfer rate. Such systems tend to have long periods above the 2–3 h period gap where the mass transfer is presumably driven by the magnetic braking mechanism (Verbunt 1984; Howell et al. 2001). The magnetic braking may be more efficient than the gravitational wave radiation driving the evolution of white dwarf binaries below the period gap. Old nova shells are found around some dwarf novae (Shara et al. 2007, 2012; Miszalski et al. 2016; Bond & Miszalski 2018; Bond 2020; Denisenko 2020; but not others Schmidtobreick et al. 2015). Some systems show dwarf nova outbursts after a classical nova eruption: Nova Per 1901 (GK Per; e.g. Evans et al. 2009), Nova Ser 1903 (X Ser; Šimon 2018), Nova Sgr 1919 (V1017 Sgr; Salazar et al. 2017), Nova Cen 2005 (V1047 Cen; Geballe et al. 2019), Nova Oph 1954 (V908 Oph, OGLE-BLG-DN-0023; Tappert et al. 2016; Mróz et al. 2015), Nova Her 1960 (V446 Her; Honeycutt et al. 2011) and the historical Nova Sco 1437 (Shara et al. 2017) and Nova Lyn 101 (BK Lyn; Patterson et al. 2013). The first four systems show long-lasting outbursts that notably differ from those of ordinary dwarf novae. It is debated if some of these outbursts may be related to symbiotic (ZAND type according to the General Catalog of Variable Stars (GCVS; Samus' et al. 2017) classification scheme<sup>1</sup>; Samus' et al. 2017) outbursts that are probably partly pow-

ered by nuclear burning (Sokoloski et al. 2006). Some old novae show low-amplitude ‘stunted’ outbursts, but it is unclear if they are driven by the same disk instability mechanism as dwarf novae (Honeycutt et al. 1998; Vogt et al. 2018). The archival data revealed V1017 Sgr and V1213 Cen (Mróz et al. 2016) were showing dwarf nova outbursts prior to the nova eruption when the variability of these objects was discovered (a few other objects displayed brightness variations prior to nova eruption, but the nature of these variations is uncertain; Collazzi et al. 2009).

YZ Ret, the subject of this paper, is only the third classical (rather than recurrent) nova eruption observed in a previously known white dwarf hosting binary<sup>2</sup>. The previous cases were the symbiotic (giant donor) system V407 Cyg (Munari et al. 2011) and V392 Per (Darnley & Starrfield 2018; Chochol et al. 2021). Both V407 Cyg and V392 Per were detected as prominent GeV (Abdo et al. 2010; Li et al. 2018), X-ray (Nelson et al. 2012; Darnley et al. 2018) and radio sources (Chomiuk et al. 2012; Giroletti et al. 2020; Linford et al. 2018).

### 1.2 YZ Ret as Nova Reticuli 2020

The first low-resolution spectra of YZ Ret (under the name EC 03572–5455) were obtained on 1992-12-19 and 1994-01-15. The SAAO 1.9 m telescope was used together with the Reticon spectrograph by Kilkenny et al. (2015) in the framework of the Edinburgh-Cape Blue Object Survey. The spectra covering 3400–5400 Å were described as ‘broad Balmer; He I?’ and at the time, the object was not recognized as a cataclysmic variable<sup>3</sup>. They are dominated by a blue continuum with superimposed broad high-order Balmer absorption lines and weak H  $\beta$  absorption (probably filled with emission). Such absorption line-dominated spectra are seen in some novalike variables and dwarf novae in outburst, for example RW Sex (Cowley & MacConnell 1972).

The variability of YZ Ret was first noted in August 2019 by Gabriel Murawski, who investigated archival photometry from the Siding Spring Survey (the southern counterpart of the Catalina Sky Survey; Drake et al. 2009) and the ASAS-SN survey (Shappee et al. 2014; Kochanek et al. 2017) and reported this object to the AAVSO VSX<sup>4</sup> under the name MGAB-V207. The object displayed fast irregular variations in the range 15.8–16.9 mag with two noticeable fadings down to 17.2 mag and 18.0 mag (unfiltered magnitudes with V zero-point). These fadings suggested the object is an ‘antidwarf nova’ – a VY Scl type cataclysmic variable (Leach et al. 1999; Hameury & Lasota 2002; Honeycutt & Kafka 2004). Unlike the ordinary dwarf novae that spend most of their time around minimum light (low accretion rate – ‘cold accretion disk’ state), VY Scl type systems spend most of their time near maximum (high accretion rate – ‘hot accretion disk’) dropping to the minimum light only occasionally. Together with the similar non-magnetic cataclysmic variables

<sup>2</sup> While this manuscript was in preparation V1405 Cas became the fourth previously known variable showing a nova eruption.

<sup>3</sup> To the best of our knowledge, this is only the second example of a pre-eruption spectrum of a classical (non-symbiotic, non-recurrent), the other being V392 Per (Liu & Hu 2000).

<sup>4</sup> <https://www.aavso.org/vsx/>

<sup>1</sup> <http://www.sai.msu.su/gcvs/gcvs/iii/vartype.txt>

that always maintain a hot accretion disk (UXUMa and SW Sex stars), VY Scl systems are referred to as novalike variables (Dhillon 1996).

McNaught (2020) noticed a 5 mag object coinciding with YZ Ret on DSLR<sup>5</sup> camera images obtained on 2020-07-15.590 UT (§ 2.1) and reported the nova candidate to the Central Bureau for Astronomical Telegrams<sup>6</sup>. Pre-discovery all-sky images by M. A. Phillips show the nova peaking on 2020-07-11.76 ( $t_0 + 3.6$  d,  $t_0$  is defined below) at 3.7 mag (Kaufman et al. 2020), while pre-discovery ASAS-SN images indicate the eruption started on 2020-07-08.171 ( $t_0 =$  JD2459038.671). The nova was also detected by *Gaia* Photometric Science Alerts on  $t_0 + 42$  d as Gaia20elz<sup>7</sup>. The pre-eruption *Gaia* lightcurve spanning  $t_0 - 2006$  d to  $t_0 - 30$  d showed irregular variations in the range  $G = 16.0-16.9$ .

The fact that the naked-eye transient went unnoticed by the astronomical community for about a week is alarming in light of our preparedness for observing the next Galactic supernova (Adams et al. 2013). Existing surveys relying on image subtraction for transient detection should implement special procedures for handling new saturated sources. Regular wide-field imaging of the sky (by both professional and amateur astronomers) aimed at detecting rare bright transients should be encouraged. To the best of our knowledge, only two Galactic novae have first been discovered at wavelengths other than optical or infrared (De et al. 2021): V959 Mon first found in  $\gamma$ -rays by *Fermi*/LAT (Cheung et al. 2012) and V598 Pup discovered as an X-ray transient by *XMM-Newton* (Read et al. 2008). YZ Ret itself was a  $\gamma$ -ray transient with a daily flux of  $\sim 0.5 \times 10^{-6}$  photons  $\text{cm}^{-2} \text{s}^{-1}$  (Fig. 1) for three days prior to its optical discovery, but wasn't noticed.

Spectroscopic observations by Kaufman et al. (2020); Aydi et al. (2020c); Carr et al. (2020); Izzo et al. (2020); Galan & Mikolajewska (2020); Sitko et al. (2020) confirmed the optical transient to be a classical nova past the optical peak. The nova was assigned its permanent GCVS designation YZ Ret (Kazarovets et al. 2020). While Kaufman et al. (2020) describe the spectrum obtained on  $t_0 + 8.4$  d by R. Kaufman as that of a Fe II-type nova (according to the classification scheme of Williams 1992), Carr et al. (2020) report He/N-type based on a series spectra obtained on  $t_0 + 8.6$  d with the ANU 2.3 m telescope. From an overabundance of oxygen and the presence of [Ne III] 3342 Å and [Ne V] 3426 Å lines in the VLT/UVES spectrum obtained on  $t_0 + 72$  d, Izzo et al. (2020) conclude that the nova erupted on an ONe white dwarf (cf. § 3.9). McLoughlin et al. (2021a) describe their exceptionally dense monitoring of the line profile evolution in YZ Ret, while Rudy et al. (2021) report late-time infrared spectroscopy.

YZ Ret was detected on  $t_0 + 2$  d in the GeV band by the Large Area Telescope (LAT) on the *Fermi Gamma-Ray Space Telescope* (Li et al. 2020b; § 2.2) and on  $t_0 + 10$  d at hard X-rays by *NuSTAR* (Sokolovsky et al. 2020b; § 2.3). By 2020-08-04 ( $t_0 + 27$  d) the emission at the softer 0.3–10 keV band was detected by *Swift*/XRT. On  $t_0 + 59$  d the soft counts at the XRT band started rising dramati-

cally signifying the appearance of the super-soft-source (Sokolovsky et al. 2020c). The super-soft emission was also observed on  $t_0 + 82$  d with the *NICER* instrument (0.24–10 keV) aboard the International Space Station by Pei et al. (2020) who noted aperiodic variations in the X-ray flux with the amplitude of about 8% on a timescale of kiloseconds. X-ray grating spectroscopy of YZ Ret was obtained with *Chandra* by Drake et al. (2020) on  $t_0 + 115$  d. Schaefer (2021) report the pre-eruption orbital period of  $0.1324539 \pm 0.0000098$  d for YZ Ret based on *TESS* optical photometry.

### 1.3 Novae in $\gamma$ -rays and X-rays

High energy emission of novae may be produced by various mechanisms.

It has long been predicted that **decay of radioactive nuclei** produced in nova nucleosynthesis should emit lines in the MeV band (Hernanz 2014; Jose 2016). The 511 keV electron-positron annihilation line should also be present. Comptonization will produce continuum emission at energies below each of these lines. The MeV emission from novae has never been observed as the coded aperture mask telescopes currently operating in the  $\sim 1$  MeV band (SPI and IBIS aboard *INTEGRAL*) are probably sufficiently sensitive to detect only a very nearby nova at a distance  $< 1$  kpc, as hinted by the ongoing searches (Hernanz et al. 2002; Siegert et al. 2018).

Another predicted, but never actually observed, phenomenon is the thermal emission from the **fireball** produced by the thermonuclear runaway. As the fireball expands, its emission peak shifts from soft X-rays to UV and then to the optical band (Schwarz et al. 2001; Krautter 2002; Ness et al. 2007a). Despite the ongoing searches (Morii et al. 2016; Kato et al. 2016), no unambiguous detection of the fireball has yet been reported (Morii et al. 2013; Li et al. 2012).

**Optically thick thermal emission** from the heated atmosphere of the hydrogen-burning white dwarf appears as the super-soft X-ray source (SSS) is often observed when the nova ejecta becomes transparent to soft X-rays (Hasinger 1994; Kahabka & van den Heuvel 1997; Schwarz et al. 2011). According to the modeling by Wolf et al. (2013), the post-nova white dwarf atmosphere temperature is  $kT < 0.2$  keV.

**Shock waves** are invoked to explain GeV and hard X-ray emission of novae, as well as synchrotron radio emission and high excitation lines in optical spectra. Shocks compress and heat plasma to X-ray temperatures (e.g. Zel'dovich & Raizer 1967). The shock-heated plasma gives rise to the optically thin thermal emission at energies  $\gtrsim 1$  keV observed in many novae (Metzger et al. 2014; Mukai et al. 2014; Mukai 2017; Gordon et al. 2021). Shocks can also amplify any pre-existing magnetic field and use it to accelerate charged particles to high energies (Blandford & Ostriker 1978; Schure et al. 2012). The relativistic particles may emit synchrotron radio as well as high-energy radiation. Depending on the balance between the acceleration efficiency and energy losses, electrons or protons may be the primary particles producing  $\gamma$ -rays via leptonic or hadronic mechanisms (Metzger et al. 2015; Martin et al. 2018). In the leptonic scenario electrons are the primary accelerated par-

<sup>5</sup> digital single-lens reflex camera

<sup>6</sup> <http://www.cbata.epr.harvard.edu/index.html>

<sup>7</sup> <http://gsaweb.ast.cam.ac.uk/alerts/alert/Gaia20elz/>

ticles that produce  $\gamma$ -rays via bremsstrahlung and inverse Compton scattering of ambient as well as their own synchrotron photons. In the hadronic scenario, most of the  $\gamma$ -ray flux arises from the decay of pions produced in interactions of high-energy protons with the surrounding ions and photons. The secondary electron/positron pairs also contribute to the  $\gamma$ -ray emission via inverse Compton scattering and bremsstrahlung (Vurm & Metzger 2018). The same mechanisms are believed to be responsible for the high-energy emission of blazars<sup>8</sup>, except interactions with matter (bremsstrahlung, proton-proton collisions) are expected to be less important in blazar jets than interactions of high-energy particles with photons and the external magnetic field (Böttcher et al. 2013; Cerruti 2020).

As of August 2021, GeV emission was detected from 18 novae: the list of Gordon et al. (2021), plus V3890 Sgr (Buson et al. 2019), V1707 Sco (Li et al. 2019), YZ Ret (§ 2.2), V1405 Cas (Buson et al. 2021), V1674 Her (Li 2021)<sup>9</sup>. Franckowiak et al. (2018) list V679 Car and V1535 Sco as low-significance detections. The  $\gamma$ -ray properties of novae were investigated by Ackermann et al. (2014); Cheung et al. (2016); Li et al. (2017); Aydi et al. (2020a); Li et al. (2020a); Chomiuk et al. (2020).

#### 1.4 Scope of this work

We analyze simultaneous GeV  $\gamma$ -ray (0.1–300 GeV from *Fermi*/LAT; § 2.2) and hard X-ray (3–79 keV from *NuSTAR*; § 2.3) observations of the 2020 classical nova eruption of YZ Ret, complemented by X-ray grating spectroscopy with *XMM-Newton* at a later epoch when the nova became bright in the 0.2–10 keV band (§ 2.5). We measure the  $\gamma$ -ray to X-ray flux ratio and use it to constrain the  $\gamma$ -ray emission mechanism (§ 3.4). We conclude that the hard X-ray emission observed by *NuSTAR* is thermal, based on its spectral shape and speculate about the possible locations of shocks responsible for the high-energy emission (§ 3.6). The trigonometric parallax from *Gaia* DR2 (§ 2.7) allows us to accurately determine the  $\gamma$ -ray, X-ray and optical luminosity of the nova. The paper at hand is a continuation of work by Nelson et al. (2019) and Sokolovsky et al. (2020a) building a sample of novae simultaneously detected by *NuSTAR* and *Fermi*/LAT with the aim to characterize shocks in novae.

Through this paper we report uncertainties at the  $1\sigma$  level. For power law emission, we use the positively-defined spectral index  $\alpha$  (commonly used in radio astronomy):  $F_\nu \propto \nu^\alpha$  where  $F_\nu$  is the spectral flux density and  $\nu$  is the frequency; the corresponding index in the distribution of the number of photons as a function of energy (used in high-energy astronomy) is  $dN(E)/dE \propto E^{-\Gamma}$ , where  $\Gamma$  is the photon index and  $\Gamma = 1 - \alpha$ . The same power law expressed in spectral energy distribution units (SED, commonly used in multiwavelength studies and in theoretical studies; Gehrels 1997) is  $\nu F_\nu \propto \nu^{\alpha+1} \propto \nu^{-\Gamma+2}$ . Throughout the text we use the terms ‘GeV novae’ and ‘ $\gamma$ -ray novae’ interchangeably

<sup>8</sup> Blazars are active galactic nuclei with relativistic jets pointing close to the line of sight. The majority of extragalactic GeV sources are blazars.

<sup>9</sup> <https://asd.gsfc.nasa.gov/Koji.Mukai/novae/latnovae.html>

implying the novae detected in the *Fermi*/LAT band (0.1–300 GeV). All novae may produce GeV  $\gamma$ -rays, so ‘ $\gamma$ -ray novae’ are unlikely to be a distinct class and are only the nearest and/or most luminous novae that we can detect.

## 2 OBSERVATIONS AND ANALYSIS

### 2.1 Optical photometry of YZ Ret

We combined the visual and *V*-band CCD measurements by AAVSO (Kafka 2021) observers with *g*-band CCD photometry from the ASAS-SN survey (Shappee et al. 2014; Kochanek et al. 2017) and observations reported via CBETs (McNaught 2020; Kaufman et al. 2020; Kazarovets et al. 2020). The early CBET-reported observations were performed using color (chip with a Bayer filter) CMOS cameras. The magnitude zero-point offsets between observations obtained with these methods are expected to be small compared to the nova amplitude (Fig. 1).

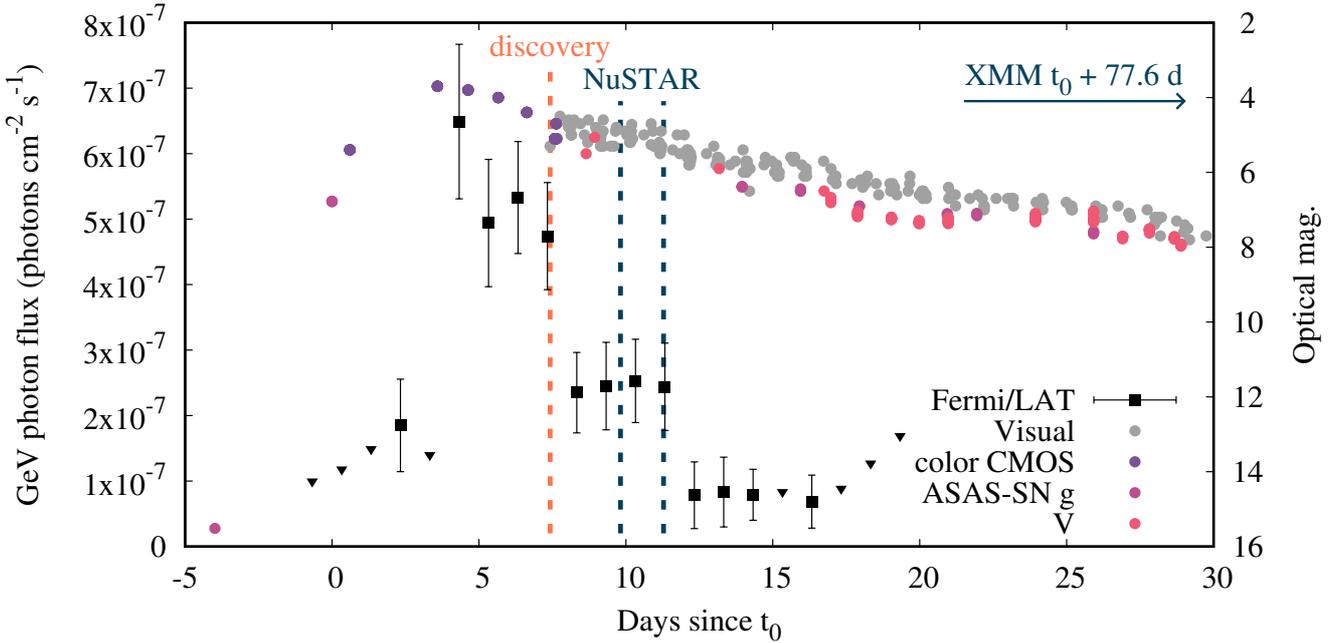
The latest detection in pre-discovery quiescence ( $g = 15.51$  on  $t_0 - 6.0$  d) is followed by the ASAS-SN detection of the eruption at  $t_0$  (2020-07-08.171 UT;  $g = 6.77$ ). Subsequently, the lightcurve continued to rise, peaking at 3.7 mag probably just before  $t_0 + 3.6$  d (Fig. 1). The peak is followed by a nearly linear decline in magnitude (exponential decline in flux). At  $t_0 + 30$  d, when the optical decline rate dramatically slows down coinciding with the appearance of super-soft X-ray emission (Sokolovsky et al. 2020c, see e.g. fig. 1 of McLoughlin et al. 2021a).

By fitting a straight line to the visual, *V* band, and color-CMOS magnitude estimates obtained between  $t_0 + 7.7$  d (when the dense observational coverage started) and  $t_0 + 29$  d (just before the lightcurve kink) we estimate the time to decline by 2 mag (3 mag) to be  $t_2 = 16.0$  d ( $t_3 = 24.1$  d). The uncertainties of the  $t_2$  and  $t_3$  values are about a day, dominated by the exact choice of the outlier measurements to reject, fitting time interval, the relative weighting of visual and CCD measurements, and the choice of the fitting algorithm. The values above were obtained with the robust linear regression (implemented in the *GNU Scientific Library* Gough 2009) effectively assigning equal weights to visual and CCD/CMOS measurements. While the CCD measurements are inherently more precise than visual estimates, the CCD observations are sparse and have zero-point difference with visual and between the different CCD observers. (Note the excursion toward the lower fluxes in *V* band around  $t_0 + 18$  d that doesn’t seem to have a counterpart in visual data. We attribute this discrepancy to a color change.) Rudy et al. (2021) report a shorter  $t_2$  value also citing the AAVSO data.

### 2.2 *Fermi*/LAT observations

*Fermi*/LAT is a pair-conversion telescope sensitive to  $\gamma$ -rays in the range 20 MeV–300 GeV with a field of view of 2.4 sr (Atwood et al. 2009; Abdo et al. 2009; Ackermann et al. 2012). Earlier in the mission, *Fermi*/LAT performed a nearly-uniform all-sky survey every day. The pointing pattern had to be modified after the solar panel drive failure on 2018-03-16, resulting in a non-uniform exposure over the sky.

We downloaded the *Fermi*/LAT photon data centered



**Figure 1.** *Fermi*/LAT  $\gamma$ -ray and optical lightcurve of YZ Ret. The time is expressed in days since the first optical detection of the eruption by ASAS-SN on  $t_0$  2020-07-08.171 UT (§ 1.2). The black squares represent the *Fermi*/LAT detections, while the black triangles are  $2\sigma$  upper limits (§ 2.2). The optical observations, including data collected with CCDs in  $g$  and  $V$  filters, color CMOS chips and visual magnitude estimates, are described in § 2.1. The nova discovery time, the duration of the *NuSTAR* observation and the *XMM-Newton* observation time are indicated. The use of the usual units of  $\gamma$ -ray and optical flux in this plot results in the  $\gamma$ -ray flux being plotted on a linear scale, while the optical flux is on a logarithmic scale. The optical plot covers a larger dynamic range than the  $\gamma$ -ray flux plot (over-emphasizing  $\gamma$ -ray variations) in order to display the latest pre-eruption optical measurement. This plot aims to present the sequence of events (eruption, peak, onset of GeV emission, *NuSTAR* observation etc.), rather than illustrate the relative magnitude of optical and GeV variations.

on YZ Ret (search radius: 20 degrees; energy range: 50 MeV–300 GeV; data version: P8R3\_SOURCE\_V2 Bruel et al. 2018) from the LAT Data Server at the Fermi Science Support Center. *Fermitools* (version 1.2.23) with *fermitools-data* (version 0.18) was used to reduce and analyze the  $\gamma$ -ray data. We performed the binned analysis with a  $\gamma$ -ray emission model file of the field based on the 4FGL catalog (Abdollahi et al. 2020; `gll_psc_v22.fit`). The model file includes all the 4FGL sources found within 30 degrees from the target. For nearby sources that are within 10 degrees from the nova, we freed the normalization parameters to minimize possible contamination. In addition to the cataloged sources, two background emission components, the Galactic (`gll_iem_v07`) and isotropic (`iso_P8R3_SOURCE_V2_v1`) diffuse emission, were adopted.

First, we performed a preliminary analysis in the 100 MeV–300 GeV energy range to determine the  $\gamma$ -ray active period of YZ Ret (the normalization parameters of all the 4FGL sources in the model file were temporarily fixed to save computational time). Assuming a simple power law  $\gamma$ -ray spectrum for YZ Ret, we performed analysis with one-day binning in time from 2020-06-30 00:00 to 2020-08-04 00:00 UT (MJD 59030.0–59065.0;  $t_0 - 8.2 - t_0 + 26.8$  d) to obtain the  $\gamma$ -ray lightcurve (Fig. 1). With a threshold set at the test statistic (Mattox et al. 1996)  $TS > 4$  (detection significance  $> 2\sigma$ ), the analysis gives a detection interval from 2020-07-10 to 2020-07-25 (MJD 59040.0–59055.0;  $t_0 + 1.8 - t_0 + 16.8$  d). Using the LAT data taken in this interval, we tried two spectral models: a simple power law and a power law

with an exponential cut-off. Both models result in significant detection with  $TS = 676$  (power law) and  $TS = 695$  (exponential cut-off power law). A likelihood-ratio test suggests that the exponential cut-off power law is preferred with a significance of  $4.4\sigma$  (the best-fit spectral parameters are given below). The  $\gamma$ -ray lightcurve was then updated based on the new power law spectral model (except for the normalization parameters of YZ Ret and the background components, all spectral parameters were fixed).

Fig. 1 presents the daily *Fermi*/LAT lightcurve of YZ Ret constructed with the simple power law model. If the source was detected with  $TS < 2$  in a daily integration, its derived photon flux was treated as an upper limit. The  $\gamma$ -ray emission is first detected ( $TS > 2$ ) on 2020-07-10 ( $t_0 + 1.8$  d), peaks two days later ( $t_0 + 4$  d) at  $(6.5 \pm 1.2) \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$ , equivalent to the 0.1–300 GeV peak energy flux of  $(4.3 \pm 0.8) \times 10^{-10}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ , then gradually fades, being last detected on 2020-07-24 ( $t_0 + 16$  d).

As YZ Ret is far from the Galactic plane (§ 2.7) where contamination at  $< 100$  MeV from the Galactic diffuse emission is limited, the low-energy LAT data of 50–100 MeV (which is usually unusable due to the huge Galactic background) were also analyzed. Despite the low noise level, the nova was undetected in this low energy band ( $TS = 0$ ). We computed a 95% upper limit in this band, which is around  $2.1 \times 10^{-11}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ . However, because of the low collecting area of *Fermi*/LAT in this energy range, the limit should be used with caution.

We then analyzed *Fermi*/LAT data collected simulta-

neously with the *NuSTAR* observation: 2020-07-17 23:36 – 2020-07-19 10:46 UT (MJD 59047.98–59049.45;  $t_0 + 9.81 - t_0 + 11.28$  d). YZ Ret is clearly detected in this time interval with TS = 104 and 100 MeV–300 GeV photon flux of  $(2.8 \pm 0.5) \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$ , equivalent to the energy flux of  $(1.9 \pm 0.4) \times 10^{-10}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ . We adopted the power law with an exponential cut-off model for the  $\gamma$ -ray spectrum. The monochromatic flux at 100 MeV derived from this model using Eqn. (2) is  $\nu F_\nu = (3.6 \pm 0.7) \times 10^{-11}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ .

Fig. 2 presents the *Fermi*/LAT SED integrated over the whole two-week  $\gamma$ -ray activity phase (all days with TS > 2). The 0.1–300 GeV spectrum (number of photons per unit energy) is approximated with the power law with an exponential cut-off at high energy:

$$\frac{dN(E)}{dE} = N_0 \left( \frac{E}{E_0} \right)^{-\Gamma} e^{-\frac{E}{E_c}}, \quad (1)$$

where the constants  $N_0 = (6.74 \pm 0.72) \times 10^{-10}$  photons  $\text{MeV}^{-1} \text{cm}^{-2} \text{s}^{-1}$  at  $E_0 = 200$  MeV (fixed),  $\Gamma = 1.59 \pm 0.16$  and the cut-off energy  $E_c = 1943 \pm 657$  MeV. In monochromatic flux (SED) units (§ 1.4) the same relation translates to

$$\nu F_\nu = C_{\text{erg/MeV}} E_{\text{MeV}}^2 N_0 \left( \frac{E_{\text{MeV}}}{E_0} \right)^{-\Gamma} e^{-\frac{E_{\text{MeV}}}{E_c}}, \quad (2)$$

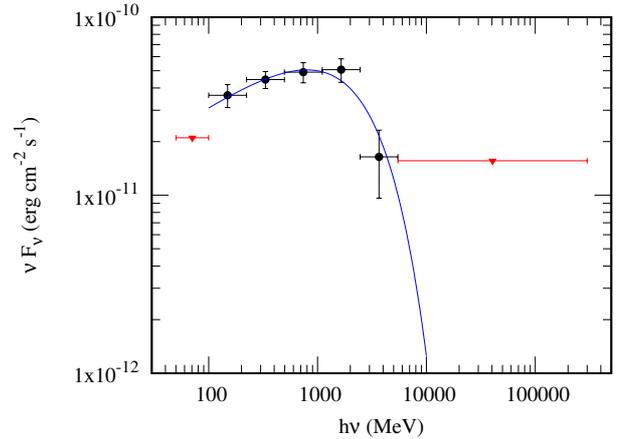
where  $C_{\text{erg/MeV}} = 1.60218 \times 10^{-6}$  is the conversion factor from MeV to erg. This relation is useful if the monochromatic flux is expressed in ergs (the energy and photon fluxes are measured per unit area) while the photon energy  $E$  and the corresponding constants,  $E_0$ ,  $E_c$  are expressed in MeV ( $N_0$  is in photons/MeV) according to the `Fermitools` convention. The model is fit to the 100 MeV–300 GeV photon data using the maximum likelihood technique (Mattox et al. 1996). The TS < 4 upper limit on the 50–100 MeV photon flux suggests that the spectrum might be departing from the power law below 100 MeV (Fig. 2). The 0.1–300 GeV photon flux integrated over the whole  $\gamma$ -ray activity phase is  $(2.7 \pm 0.2) \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$  equivalent to the energy flux of  $(2.5 \pm 0.3) \times 10^{-10}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ .

### 2.3 *NuSTAR* spectroscopy

*NuSTAR* (Harrison et al. 2013) is equipped with a pair of identical focusing X-ray telescopes sensitive to hard X-ray photons with energies 3–79 keV (Madsen et al. 2015). It is in a low-Earth orbit, so the observations are periodically interrupted by Earth occultations and the South Atlantic Anomaly (e.g. Heitzler 2002) passages.

*NuSTAR* observed YZ Ret between 2020-07-17 23:36 and 2020-07-19 10:46 UT ( $t_0 + 10$  d; ObsID 80601317002) for a total exposure of 66 ks. The preliminary analysis of this observation was reported by Sokolovsky et al. (2020b). For the analysis we used `nupipeline` and `nuproducts` commands from `HEASoft 6.27.2` to extract source and background spectra and lightcurves from the focal plane modules A (FPMA) and B (FPMB). We followed the analysis procedure described by Sokolovsky et al. (2020a). The nova is clearly detected with signal-to-noise of  $\sim 11$  by both focal plane modules (net countrate  $0.0041 \pm 0.0004$  and  $0.0043 \pm 0.0004$  cts  $\text{s}^{-1}$  for FPMA and FPMB, respectively).

The source and background spectra, together with the



**Figure 2.** *Fermi*/LAT SED of YZ Ret. The black points represent the binned LAT data while the red triangles mark  $2\sigma$  upper limits. The blue curve is the power law with an exponential cut-off (Eqn 2) model fitted to 0.1–300 GeV photon data using the maximum likelihood technique.

associated redistribution matrix and auxiliary response files, were loaded into `XSPEC 12.11.0`. We restrict our spectral analysis to 3.5–78.0 keV to avoid calibration uncertainties near the low-energy (3.0 keV) range of the *NuSTAR* band. These uncertainties are mostly related to the rip in the protective polyimide film (Madsen et al. 2020) that covers both front and back sides of *NuSTAR* mirror assembly (Craig et al. 2011). The 3.5–78.0 keV spectrum was fit by heavily absorbed, optically thin thermal plasma emission (`vappec`; Brickhouse et al. 2005), with  $N_{\text{H}} \approx 10^{23}$ – $10^{24}$   $\text{cm}^{-2}$  (depending on the choice of abundances; see following paragraph) and  $kT = 6.5 \pm 1.5$  keV. The unabsorbed 3.5–78 keV flux is  $1.1 \times 10^{-12}$  erg  $\text{cm}^{-2} \text{s}^{-1}$  (or  $1.4 \times 10^{-12}$  erg  $\text{cm}^{-2} \text{s}^{-1}$ , again depending on abundances). We use the solar abundances of Asplund et al. (2009).

To obtain a good fit to the *NuSTAR* spectrum, we had to allow for non-solar abundances of N, O and/or Fe for both the absorber and emitter. These elements have prominent absorption and emission features in the *NuSTAR* band. The lower  $N_{\text{H}}$  value in NO overabundance models reduces the Fe K edge resulting in the the same broadband absorption as the solar abundance model with higher  $N_{\text{H}}$ . Novae are known to show overabundance of CNO elements (Livio & Truran 1994; Gehrz et al. 1998; Schwarz et al. 2001, and § 3.9). The shape of the *NuSTAR* spectrum is virtually insensitive to the abundance of C (unlike N and O). Optical spectra reveal the presence of Fe in the ejecta of YZ Ret (Aydi et al. 2020c; Izzo et al. 2020), but it may be under-abundant with respect to solar values.

We simultaneously fit the spectra from the two focal plane modules using the `XSPEC` model `constant*phabs*vphabs*vappec`, where `constant` is needed to compensate for the variable cross-calibration factor between FPMA and FPMB, `phabs` represents the foreground Galactic absorber (with solar abundances and the absorbing column fixed to the value estimated from optical reddening in § 2.7), `vphabs` represents the intrinsic absorption and is allowed to vary, while `vappec` is the plasma emission model. We consider two types of models:

(i) the abundances of Fe, Co, Ni are tied together and left free to vary, while abundances of all other elements are fixed to the solar values of [Asplund et al. \(2009\)](#);

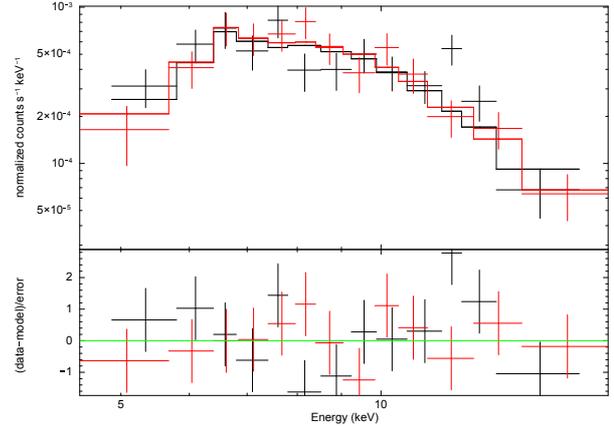
(ii) the abundances of N and O are tied together and left free to vary, while abundances of all other elements are fixed to the solar values.

The abundances of the absorber (`vphabs`) and the emitter (`vapec`) are tied together in our models. The choice of the abundances dramatically affects the intrinsic absorbing column (that is expressed in terms of the equivalent, pure hydrogen column). The same situation was described for nova V906 Car by [Sokolovsky et al. \(2020a\)](#). Fig. 3 presents the *NuSTAR* spectra compared to our preferred model described in Table 1.

The 3.5–78 keV emission observed by *NuSTAR* is essentially featureless and can be described equally well by a power law, thermal emission from pure bremsstrahlung ([Kellogg et al. 1975](#)), and thermal emission from bremsstrahlung continuum plus line emission (`vapec` [Brickhouse et al. 2005](#)) with non-solar abundances. In order to suppress the line emission expected for solar-abundance plasma and, specifically, the Fe K  $\alpha$  emission at 6.7 keV, the plasma should either be Fe-deficient, or overabundant in nitrogen and oxygen. While absent in YZ Ret and V906 Car ([Sokolovsky et al. 2020a](#)), the 6.7 keV emission is clearly visible in the *NuSTAR* spectrum of the recurrent nova V745 Sco, where the shock propagates through the dense wind of the red giant companion that presumably has nearly-solar abundances ([Orio et al. 2015](#)). The *NuSTAR* spectrum of V5855 Sgr had too few counts to constrain the abundances ([Nelson et al. 2019](#)). A combination of both Fe-deficiency and NO overabundance is also possible and was found in nova V906 Car by [Sokolovsky et al. \(2020a\)](#), see also § 3.9. A power law provides an adequate fit to the spectrum of YZ Ret given the non-solar abundances of the absorber. Physically, the power law model may represent non-thermal emission or thermal emission with a very high temperature. The monochromatic flux at 20 keV derived from the power law fit using Eqn. (4) is  $\nu F_\nu = 2.5 \times 10^{-13} \text{ erg cm}^{-2} \text{ s}^{-1}$ .

For V906 Car the thermal model could be clearly favored over the power law thanks to the high statistic. We cannot distinguish between the power law and thermal models for YZ Ret as both provide a statistically acceptable fit. However, we prefer the thermal model for the X-ray emission of YZ Ret as we expect the same emission mechanisms at work in nova systems. In addition, the observed soft power law is at odds with the theoretical expectations as discussed in § 3.4.

Following [Nelson et al. \(2019\)](#) and [Sokolovsky et al. \(2020a\)](#), we also fit a combination of the thermal plasma and power law emission to constrain the non-thermal contribution on top of the thermal emission (Table 1). We fix the photon index to the theoretically predicted value of  $\Gamma = 1.2$  (§ 3.4), manually vary the power law normalization and fit for other model parameters. This way we find the brightest power law emission that, together with the thermal emission component still provide an acceptable fit (Null hypothesis probability  $> 0.05$ ). The monochromatic flux at 20 keV for the brightest acceptable power law component computed with Eqn. (4) is  $\nu F_\nu = 1.4 \times 10^{-13} \text{ erg cm}^{-2} \text{ s}^{-1}$ . If instead of manually setting the power law normalization, we let it free



**Figure 3.** Observed *NuSTAR* spectra compared with the preferred model in Table 1. Black and red curves represent spectra obtained with two *NuSTAR* telescopes (FPMA and FPMB, respectively). The top panel shows the spectrum and the model, while the bottom panel shows the difference between the spectrum and the model in the units of uncertainty associated with each data bin. The four models in Table 1 that provide an acceptable fit look very similar when plotted against the data.

to vary, the fit always converges to zero contribution of the power law as the observations can be fully explained by thermal emission.

Table 1 summarizes the spectral fitting results. For each model we list the assumed and/or derived Fe, N and O abundances (by number, relative to the solar values). One can see that while the particular choice of abundances fixed to the solar values results in a bad fit, a very wide range of Fe, N and O abundances provides acceptable fits, to the point that the abundances of these elements are essentially unconstrained. The temperature of the thermal model as well as the observed flux do not depend strongly on the abundances, the unabsorbed (intrinsic) flux is somewhat dependent while the intrinsic absorbing column,  $N_{\text{H}}$ , is extremely sensitive to the choice of the abundances as detailed in Table 1.

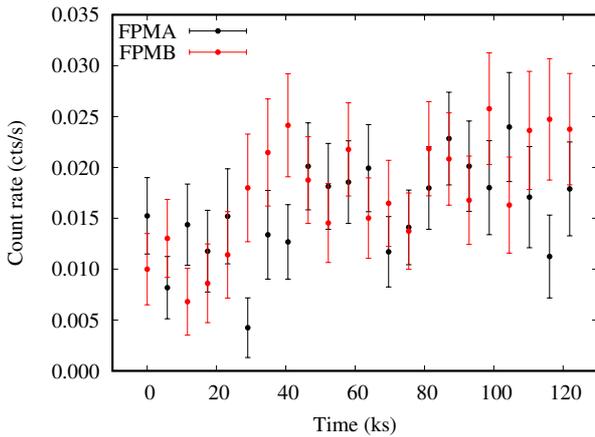
## 2.4 *NuSTAR* variability analysis

Fig. 4 presents the 3.5–78 keV lightcurves of YZ Ret obtained with the two focal plane modules of *NuSTAR*. The lightcurves were background-subtracted and binned to 5805 s (corresponding to the *NuSTAR* orbital period at the time of the observations) resulting in one countrate measurement per orbit. Comparing the scatter of the countrate measurements to their error bars using the  $\chi^2$  test (testing the observations against the null hypothesis that the mean countrate is constant; e.g. [de Diego 2010](#)) we get about 0.02 chance occurrence probability for each of the lightcurves. Combining the FPMA and FPMB lightcurves the chance occurrence probability drops below 0.005. Indeed, the visual inspection of Fig. 4 reveals that both FPMA and FPMB lightcurves show an increase in brightness over the duration of the observations. The  $\chi^2$  test does not take into account the time and order of the photon flux measurements, only the measured values and their error bars (see the discussion in [Tamuz et al. 2006](#); [Figuera Jaimes et al.](#)

**Table 1.** *NuSTAR* spectral modeling

vphabs $N_{\text{H}}$ ( $10^{22} \text{ cm}^{-2}$ )	$kT$ (keV)	$\Gamma$	Fe/ $\text{Fe}_{\odot}$	N/ $\text{N}_{\odot}$ O/ $\text{O}_{\odot}$	3.5–78.0 keV Flux $\log_{10}(\text{erg cm}^{-2} \text{ s}^{-1})$	unabs. 3.5–78.0 keV Flux $\log_{10}(\text{erg cm}^{-2} \text{ s}^{-1})$	$p$	$\chi^2$	d.o.f.	
$1.9 \pm 33.2$		$3.3 \pm 0.7$	1*		constant*phabs*vphabs*powerlaw $250 \pm 4300$	$-12.22 \pm 0.02$	$-11.75 \pm 0.02$	0.19	26.41	21
$6.1 \pm 5.7$	$4.5 \pm 0.9$	1.2*	1*		constant*phabs*vphabs(vapec+powerlaw) $72 \pm 66$	$-12.10 \pm 0.02$	$-11.96 \pm 0.02$ vapec $< -12.47^*$ powerlaw	0.05	42.19	29
$71.8 \pm 14.0$	$11.4 \pm 2.1$		1*		constant*phabs*vphabs*vapec 1*	$-12.18 \pm 0.02$	$-11.91 \pm 0.02$	0.00	43.93	22
$131.3 \pm 25.8$	$5.6 \pm 1.2$		0.2 $\pm$ 0.1		constant*phabs*vphabs*vapec 1*	$-12.32 \pm 0.02$	$-11.84 \pm 0.02$	0.31	23.58	21
$7.3 \pm 7.3$	$6.5 \pm 1.5$		1*		preferred model constant*phabs*vphabs*vapec $52 \pm 53$	$-12.30 \pm 0.02$	$-11.96 \pm 0.02$	0.29	24.12	21

The parameters that were kept fixed for the model fit are marked with the \* symbol. **Column designation:** Col. 1 – intrinsic absorbing column (in excess of the total Galactic value); Col. 2 – temperature of the thermal component; Col. 3 – photon index of the power law component; Col. 4 – Fe abundance by number relative to the solar value; Col. 5 – N and O abundances (tied together) by number relative to the solar values; Col. 6 – the logarithm of the integrated 3.5–78.0 keV flux under the model; Col. 7 – logarithm of the unabsorbed 3.5–78.0 keV flux; Col. 8 – chance occurrence (null hypothesis) probability; Col. 9 –  $\chi^2$  value; Col. 10 – number of degrees of freedom.

**Figure 4.** The *NuSTAR* lightcurve of YZ Ret.

2013; Sokolovsky et al. 2017). There is no obvious energy dependence of the variability amplitude, implying that the variations are intrinsic rather than related to changing absorption.

The need to collect enough photons for an accurate count rate measurement requires long time bins, which in turn limit the time resolution of the lightcurve. To test for the presence of a periodic signal on timescales shorter than the *NuSTAR* orbital period we analyzed photon arrival times (an unbinned lightcurve). The idea is that if the lightcurve is periodic, one can smooth (bin) it in phase rather than in time. We used the photon arrival times extracted from an event file to compute the power (defined as the squared modulus of the discrete Fourier transform) as a function of the variability timescale (‘power spectrum’; Deeming 1975; Max-Moerbeck et al. 2014). We also computed the  $H_m$ -periodogram that for each trial period sums power over multiple harmonics enhancing sensitivity to variations that do not look like a sine-wave (de Jager et al. 1989; de Jager & Büsching 2010; Kerr 2011). The period-

icity search was performed with the patpc code<sup>10</sup>. We found no significant periodicity in the range 0.5–1000 s that was present in both FPMA and FPMB lightcurves and could not be attributed to harmonics of the *NuSTAR* orbital period.

## 2.5 *XMM-Newton* spectroscopy

*XMM-Newton* is equipped with five X-ray instruments: the two EPIC-MOS<sup>11</sup> and the EPIC-pn<sup>12</sup> cameras for imaging and low-resolution spectroscopy in the 0.2–10 keV band and two Reflection Grating Spectrometers (RGS; den Herder et al. 2001) covering the range 0.33–2.1 keV (6–38 Å) with high spectral resolution. The X-ray telescopes are supplemented by the Optical Monitor (Mason et al. 2001). All the instruments are capable of operating simultaneously, with the X-ray photons not dispersed by the RGS gratings being intercepted by the EPIC-MOS cameras. The 2 day orbital period of *XMM-Newton* allows for long uninterrupted observations.

Coadding data collected prior to eruption, the Upper Limit Server (Saxton & Gimeno 2011) reports the typical  $2\sigma$  EPIC-pn upper limits of  $< 1$  cts/s corresponding to the energy flux limit around  $2 \times 10^{-12} \text{ erg cm}^{-2} \text{ s}^{-1}$  on the 0.2–12 keV flux (for the five *XMM-Newton* slews over the nova position in 2002–2019). A ROSAT/PSPC survey observation from 1990 yields an upper limit of  $< 0.0131$  cts/s corresponding to  $< 10^{-13} \text{ erg cm}^{-2} \text{ s}^{-1}$  of the 0.2–2 keV flux (Boller et al. 2016). Two *XMM-Newton* slews were performed over the position of YZ Ret after the eruption resulting in detection of soft (photon energy  $< 2$  keV) emission on 2020-12-08 19:48:42 ( $t_0 + 153.6$  d;  $2.0 \pm 0.8$  cts/s;  $(4 \pm 2) \times 10^{-12} \text{ erg cm}^{-2} \text{ s}^{-1}$ ) and 2021-03-13 02:42:17 ( $t_0 + 247.9$  d;

<sup>10</sup> <https://github.com/kirxkirx/patpc>

<sup>11</sup> European Photon Imaging Camera - Metal Oxide Semiconductor (Turner et al. 2001)

<sup>12</sup> European Photon Imaging Camera with the pn-type detector (Strüder et al. 2001)

$1.0 \pm 0.4$  cts/s;  $(2.3 \pm 0.9) \times 10^{-12}$  erg cm $^{-2}$  s $^{-1}$ ; the fluxes and count rates are 0.2–12 keV). The energy fluxes and limits are computed following Kraft et al. (1991), assuming power law emission with  $\Gamma = 2$  and the ‘standard’ XMM Slew Survey (Saxton et al. 2008) absorbing column of  $3 \times 10^{20}$  cm $^{-2}$  for the countrate to flux conversion.

The dedicated pointed XMM-Newton observation of YZ Ret (ObsID 0871010101) was performed between 2020-09-23 13:36 and 2020-09-23 21:22 UT ( $t_0 + 77.6$  days) for the total exposure time of 28 ks. We did not use the Optical Monitor as the target was too bright, with a visual magnitude  $\sim 8.8$ . The EPIC was operating with the following configuration: pn – Small Window with Thick Filter, MOS1 – Small Window with Thick Filter, MOS2 – Timing with Medium Filter. When choosing this instrument setup, we were concerned about the possible optical loading (§ 2.1) and possible low-energy calibration issues of the Timing mode (so we choose two different configurations for the MOS cameras). However, the real problem turned out to be pile-up by the soft X-ray photons from the SSS component. Essentially, the SSS component turned out to be much brighter than we anticipated from Swift/XRT observations (Sokolovsky et al. 2020c). Pile-up happens when multiple photons arriving almost at the same time are mistaken by the detector for a single event with the sum of their energies. This distorts the energy spectrum and results in an underestimate of the count rate (two or more events are counted as one). Pile-up is so severe in our observations of YZ Ret that it makes quantitative analysis of the EPIC spectra impossible, even when the (most affected) central region of the source image is excluded.

We thus focus on the dispersive RGS where the photons are spread over a much larger area on the chip, making pile-up generally less likely to happen. However, for extremely bright and soft sources such as ours, pile-up can still occur, but can be dealt with following the approach described by Ness et al. (2007b). The RGS was operated in standard spectroscopy mode. We extracted the RGS 1 and 2 spectra and co-added them with the rgsproc pipeline of the SAS. The RGS spectrum was found to be distorted by pile-up and a special procedure has to be applied to correct for it.

The intrinsic energy resolution of the CCD detector that records the dispersed photons is sufficiently high to identify higher dispersion orders from the photon energies. The pipeline that extracts second-order spectra does not, however, distinguish between pile-up and second-order dispersion. The result is the apparent leakage of counts from the first- to the second-order spectrum. Normally, in the case of second order dispersion, a photon of a certain energy  $E_\lambda$  is recorded at a position that corresponds to half the wavelength. i.e.,  $0.5hc/E_\lambda$  (where  $h$  is the Planck constant and  $c$  is the speed of light in vacuum). The software recognizes the higher energy of the photon (thanks to the inherent energy resolution of the CCD detector) and corrects the corresponding wavelength accordingly. Meanwhile, in the case of pile-up, two photons of energy  $E_\lambda$  are registered at the chip position that corresponds to the wavelength  $0.5hc/E_\lambda$ , but with the sum of their energies, thus  $2E_\lambda$ . The software then assigns to half the true wavelength resulting in the discrepancies between first and second order spectra which is thus owed to pile-up.

Since there is no first-order emission in the 15–20 Å

range where the piled-up photons are recorded, it is easy to correct for pile-up following the approach described by Ness et al. (2007b) by manipulation of the events file. We use the columns of wavelength (derived from the photon positions in dispersion direction) and the Pulse Invariant channel number (PI; encoding the photon energy recorded by the CCD). For each photon recorded within the wavelength range 12–38 Å but twice the corresponding photon energy, two photons are added with double the wavelength value. That way, we re-generated the spectrum with rgsproc starting with the manipulated events file.

Even after taking into account the leakage of counts from the first to the second order caused by pile-up, the RGS spectrum (Fig. 5) looks somewhat unusual. Instead of a soft blackbody-like emission usually found in SSS (and that can be expected from the EPIC spectrum), the spectrum is dominated by emission lines. Comparison with previously investigated novae helps to interpret this spectrum. Fig. 5 compares the RGS spectrum of YZ Ret to previously observed novae in the SSS phase: V339 Del, RS Oph and V4743 Sgr. The archival RGS spectrum of V339 Del was extracted by us with the standard SAS tasks, while the grating spectra of RS Oph and V4743 Sgr were discussed earlier by Ness et al. (2009) and Ness et al. (2003), respectively. V339 Del shows a typical SSS spectrum dominated by continuum emission modified by absorption lines while the other novae display prominent emission lines. Comparing YZ Ret with V339 Del, one can see some of the YZ Ret emission lines have corresponding absorption lines in V339 Del, while the huge, broad emission line at  $\sim 31.5$  Å is also seen in V4743 Sgr.

We conclude that the RGS spectrum (Fig. 5) is dominated by emission lines of H-like Carbon (C VI) and He-like Carbon (C V). The C v 1s-2p (K  $\alpha$  or Lyman  $\alpha$ ) line is outside the range of the RGS, but all other lines of these ions are seen. With increasing principal quantum number, the separation between the lines shrinks, and when the principal quantum number approaches infinity (corresponding to the ionization energy C v to C VI), the lines blend with each other, which explains the shape of the 31.5 Å feature (labeled C v $\infty$ ) where we can still resolve the C v  $\zeta$  (1s-7p) transition in the red wing. In other words, C v $\infty$  is equivalent to the Lyman jump in emission, it is known as “radiative recombination continuum” feature and is observed in grating X-ray spectra of some active galactic nuclei (Guainazzi & Bianchi 2007; Whewell et al. 2015). For C VI, the lines are weaker in the spectrum of YZ Ret, but we can clearly see all the lines and at 25.3 Å, a small peak can be seen that corresponds to the ionization energy of C VI.

We also see a weak emission line feature corresponding to the N VI  $\alpha$  1s-2p transition at 28.8 Å with the resonance, intercombination, and forbidden (1s-2s) lines as well as the N VI 1s-3p (24.9 Å) and N VII 1s-2p line at 24.8 Å. Also present are the O VIII 1s-2p and 1s-3p lines and probably also O VII 1s-2p at 21.6 Å.

Identification of all the emission lines discussed above requires a blue-shift of 1500 km s $^{-1}$ . Blue-shifted emission lines were previously observed in X-ray grating spectra of RS Oph (Nelson et al. 2008; Orlando et al. 2009), V959 Mon (Peretz et al. 2016; Nelson et al. 2021) and V906 Car (Sokolovsky et al. 2020a). Blueshifts are also observed for absorption lines on top of the continuum SSS emis-

sion of novae (Ness et al. 2007b, 2011; Ness 2012; Orio et al. 2013, 2018; Orio 2020; Orio et al. 2021).

The line-dominated emission observed by *XMM-Newton*/RGS on  $t_0 + 77.6$  is characteristic of photoionized or recombining plasma rather than collisionally-ionized plasma in thermal equilibrium (the `vapec` model we used to interpret the *NuSTAR* spectrum obtained on  $t_0 + 10$  d; § 2.3). This is in stark contrast to V906 Car that showed no SSS and allowed modeling its *XMM-Newton* spectrum with `vapec` to derive the abundances (Sokolovsky et al. 2020a). Modeling the line-dominated SSS emission of YZ Ret is beyond the scope of this paper and we limit ourselves to line identification in interpretation of the *XMM-Newton*/RGS spectrum.

## 2.6 *XMM-Newton* periodicity search

We apply the `patpc` code (§ 2.4) to search for a periodicity in the arrival times of photons registered by the MOS2 instrument operating in the timing mode (§ 2.5). We use the full 0.2–10 keV band, however we note that the counts are dominated by the super-soft line emission (§ 3.7). No significant periodicity could be identified in the period range 0.5–300 s – (quasi-)periodic variations on these timescales were reported in other novae, during the SSS phase (Ness et al. 2015; Wolf et al. 2018; Vasilopoulos et al. 2020; Page et al. 2020). This is in accordance with the *NICER* results reported by Pei et al. (2020). There is significant power distributed across multiple peaks at longer periods which can be attributed to variability on a timescale of a few ks, either intrinsic to the source or caused by the background variations.

## 2.7 YZ Ret position, distance and Galactic extinction

The *Gaia* DR2 (Gaia Collaboration et al. 2018) lists the position of YZ Ret measured at the mean epoch of 2015.5:

03:58:29.56 −54:46:41.2 J2000

with the proper motion of  $7.244 \pm 0.089$  and  $2.984 \pm 0.096$  mas yr<sup>−1</sup> in R.A. and Dec. directions, respectively. The *Gaia* DR2 parallax of  $0.3161 \pm 0.0464$  mas corresponds to the distance of  $2703^{+366}_{-293}$  pc according to Bailer-Jones et al. (2018). We are lucky to have the trigonometric parallax for YZ Ret, as progenitors of many other novae lack *Gaia* parallaxes due to their faintness. Schaefer (2018) estimates that *Gaia* provides reliable parallaxes for less than 20% of the known novae. In fact, Schaefer (2018) reports *Gaia* parallaxes of 41 novae, 9% of the 464 novae<sup>13</sup> known at the time when that paper was submitted. For YZ Ret, both its relative proximity and intrinsic brightness (the pre-nova was in the hot accretion disk state; § 1.2) helped secure the parallax measurement. YZ Ret is located 1.9 kpc above the Galactic plane at Galactic coordinates  $l = 265.39744$ ,  $b = -46.39540$ , so it is likely associated with the Milky Way’s thick disk.

The interstellar reddening towards the nova can be estimated from multicolor photometry, assuming a typical intrinsic colour of  $(B - V)_0 = -0.02$  when the nova is two magnitudes below its peak (the dispersion of  $(B - V)_0$  is 0.12 mag; van den Bergh & Younger 1987). According to photometry

reported by A. Valvasori to AAVSO, on 2020-07-16.817 (JD 2459047.317) YZ Ret had  $V = 5.50 \pm 0.05$  and  $(B - V) = 0.01 \pm 0.06$ . Therefore, the color excess is  $E(B - V) = 0.03$ , which for the standard value of the ratio  $R = \frac{A_V}{E(B - V)} = 3.1$  corresponds to  $A_V = 0.08$  mag. This is consistent with  $E(B - V) < 0.1$  derived from the infrared spectroscopy by Rudy et al. (2021). Given the uncertainty in photometry and the scatter of nova intrinsic colors, the foreground reddening/absorption are consistent with zero.

We can estimate the expected Galactic X-ray absorbing column to YZ Ret using the relation of Güver & Özel (2009):

$$N_{\text{H}} = 2.21 \times 10^{21} \text{ cm}^{-2} \times A_V = 1.86 \times 10^{20} \text{ cm}^{-2} \quad (3)$$

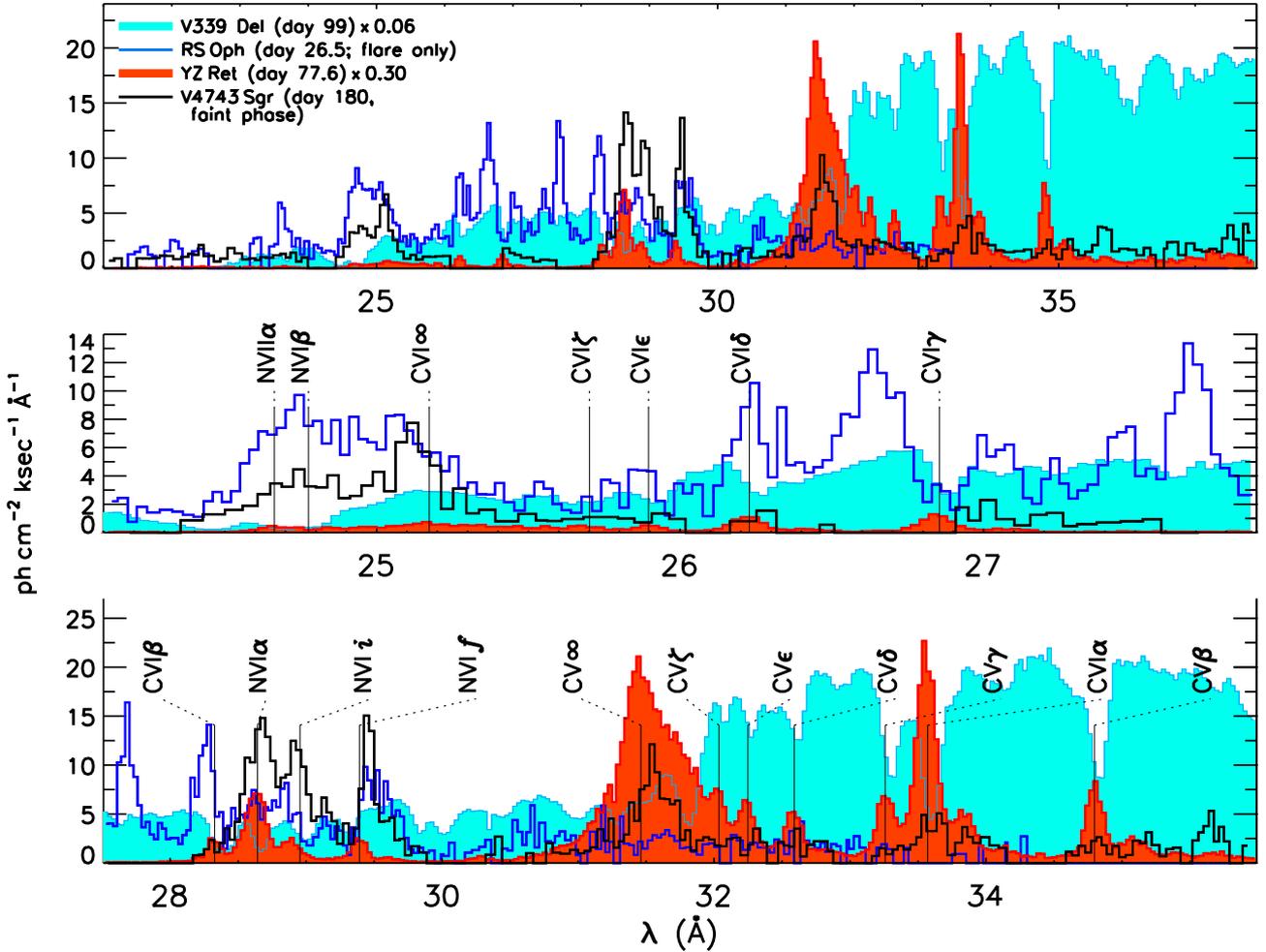
A small positive value of  $E(B - V)$  (and hence  $N_{\text{H}}$ ) seems like a better guess than the hard limit of zero. We adopt the above  $N_{\text{H}}$  value for the X-ray spectral analysis (§ 2.3). The adopted  $N_{\text{H}}$  value is close to the total Galactic H I column in that direction estimated from radio observations of the 21 cm hydrogen line:  $N_{\text{HI}} = 1.18 \times 10^{20} \text{ cm}^{-2}$  (Kalberla et al. 2005; Bajaja et al. 2005). The 21 cm-derived column density does not account for ionized and molecular hydrogen, while the abundances of X-ray absorbing atoms are normalized to the total number of hydrogen atoms. However, these contributions are small and  $N_{\text{HI}}$  values are often taken as estimates of the total  $N_{\text{H}}$  for the purpose of calculating the X-ray absorbing column. Izzo et al. (2020) used the Ferlet et al. (1985) relation between the column density of Na I (derived from high-resolution optical spectroscopy) and  $N_{\text{H}} = N_{\text{HI}} + 2N_{\text{H}_2}$  to find  $N_{\text{H}} = 10^{19} \text{ cm}^{-2}$  for YZ Ret, an order of magnitude lower than what we adopt.

## 3 DISCUSSION

### 3.1 Relation between optical and $\gamma$ -ray emission

The  $\gamma$ -ray lightcurve peaks in the daily bin centered at  $t_0 + 4.3$  d, which is 0.7 d past the optical peak (Fig. 1; § 2.1). The optical peak time is not well constrained (no observations in three days between the latest pre-maximum and maximum lightcurve points), so the optical to  $\gamma$ -ray peak delay value should be treated with caution. Delayed onset of  $\gamma$ -ray emission with respect to the optical peak has been observed in other novae (e.g. Cheung et al. 2016). Two possibilities may explain this delay. The  $\gamma$ -rays may be created simultaneously with the optical emission, but initially get absorbed (e.g. Fang et al. 2020). This scenario is similar to the one explaining the delayed onset of shock-powered X-ray emission — we know that the X-rays are present early in eruption thanks to *NuSTAR* penetrating through dense absorbing ejecta (Nelson et al. 2019; Sokolovsky et al. 2020a). The other possibility is that the shock accelerating the  $\gamma$ -ray emitting particles needs time to form. Munari et al. (2017) suggest there may be two peaks in optical lightcurves of  $\gamma$ -ray novae: the first one from the freely expanding nova fireball (common to all novae) and the second peak powered by shocks (specific to the  $\gamma$ -ray novae). According to Aydi et al. (2020b), the  $\gamma$ -ray emitting shock forms when a fast radiation-driven wind from the white dwarf catches up with the slowly expanding shell ejected early in the eruption (perhaps through common envelope interaction). Correlated  $\gamma$ -ray and optical variations (Li et al. 2017; Aydi et al.

<sup>13</sup> <https://github.com/Bill-Gray/galnovae>



**Figure 5.** *XMM-Newton* RGS1+2 spectra of YZ Ret observed on  $t_0 + 77.6$  days (red) compared with three other novae: an RGS spectrum of V339Del (cyan shading; ObsID 0728200201), RGS spectrum of RS Oph (blue line; ObsID 0410180201, Ness et al. 2009), and a *Chandra*/LETGS (low energy transmission grating spectrometer; Brinkman et al. 2000) spectrum of V4743 Sgr (black line; ObsID 3775, Ness et al. 2003). The top panel shows the full spectral range, while the panels below zoom in the ranges 24–28 Å and 28–36 Å. The line labels are blue-shifted by  $1500 \text{ km s}^{-1}$ . The labels indicate somewhat unusual states of RS Oph and V4743 Sgr when the displayed SSS spectra with emission lines were observed: on day 26.5, RS Oph experienced a small soft flare (see Figure 6 in Ness 2012); on day 180.4, V4743 Sgr experienced a steep decline from very bright to extremely faint emission (Ness et al. 2003, see also Figure 5 in Ness 2012).

2020a) suggest that shocks within the nova ejecta can vary in power on a timescale of days, which tentatively suggests the delayed shock formation scenario is plausible.

In contrast with the two  $\gamma$ -ray novae discussed by Munari et al. (2017), YZ Ret shows a single-peaked optical lightcurve. In the ‘two peaks/delayed shock formation’ scenario, this means that the shocks in YZ Ret formed quickly, and the fireball and shock-powered optical lightcurve peaks merge together (or at least are indistinguishable given the limited photometric coverage between  $t_0$  and  $t_0 + 5$  d, Fig. 1).

Following Metzger et al. (2015); Li et al. (2017); Aydi et al. (2020a), and Li et al. (2020a), we compute the ratio of the  $\gamma$ -ray flux in the *Fermi*/LAT band (0.1–300 GeV; § 2.2) to the bolometric optical flux. The typical intrinsic color of a nova near peak brightness is  $(B - V)_0 = +0.23$  (van den Bergh & Younger 1987). For a blackbody with temperature  $T < 10000$  K (corresponding to spectral types later than A0) the temperature can be estimated

from the  $(B - V)_0$  color as  $T = \frac{7090}{(B - V)_0 + 0.71} \approx 7500$  K. The blackbody bolometric correction (defined in e.g. Kitchin 2009) for  $T = 7500$  K is  $-0.03$  according to table 3.1 of Budding & Demircan (2007). Adopting the observed bolometric magnitude  $m_{\text{bol}} = 3.67 - A_V$  from the color-CMOS magnitude of 3.7 (the best available approximation to the peak  $V$  magnitude) and following Mamajek et al. (2015), we obtain a peak bolometric flux of  $f = 2.518 \times 10^{-5} \times 10^{-0.4m_{\text{bol}}} \text{ erg cm}^{-2} \text{ s}^{-1} \approx 8.6 \times 10^{-7} \text{ erg cm}^{-2} \text{ s}^{-1}$  corresponding to an optical luminosity of  $8.1 \times 10^{38} \text{ erg s}^{-1}$ , a factor of 6 above the Eddington luminosity of a  $1.0 M_{\odot}$  white dwarf (see e.g. § 1.2 of Frank et al. 2002 and Shaviv 1998). The ratio of the peak  $\gamma$ -ray luminosity (§ 2.2) to peak optical luminosity is  $4.5 \times 10^{-4}$ . This value is comparable to what was observed in V339 Del, and an order of magnitude lower than what was found for the other  $\gamma$ -ray bright novae (see supplementary fig. 14 of Aydi et al. 2020a).

Using the same technique we estimate the optical bolometric luminosity of YZ Ret during the *NuSTAR* observation to be  $2.7 \times 10^{38} \text{ erg s}^{-1}$  based on 27 visual magnitude estimates made during the *NuSTAR* observation (mean 5.12 mag), assuming post-peak  $(B - V)_0 = -0.02$  (§ 2.7; van den Bergh & Younger 1987) corresponding to  $T = 10^4 \text{ K}$  (bolometric correction  $-0.28$ ).

The  $\gamma$ -ray to optical flux ratio sets the lower limit on the particle acceleration efficiency in nova shocks. If we assume that (i) all optical luminosity is powered by shocks; (ii) most of the shock energy is eventually dissipated as optical radiation; and (iii) the accelerated particles emit all their energy within the *Fermi*/LAT band, the ratio of the *Fermi*/LAT to optical fluxes will yield the particle acceleration efficiency. Clearly, a large fraction of the optical luminosity comes from the expanded photosphere heated directly by the nuclear burning white dwarf, so the GeV to optical flux ratio is an upper limit on the acceleration efficiency.

To facilitate comparison with the following paragraphs where we use monochromatic X-ray and  $\gamma$ -ray fluxes, we compute the peak monochromatic optical flux at 2.25 eV (5500 Å):  $\nu F_\nu = 7.2 \times 10^{-7} \text{ erg cm}^{-2} \text{ s}^{-1}$ . The monochromatic optical flux at the time of the *NuSTAR* observation is  $\nu F_\nu = 1.9 \times 10^{-7} \text{ erg cm}^{-2} \text{ s}^{-1}$ . For the magnitude to flux density conversion we use the absolute fluxes (corresponding to zero magnitude) from Bessell et al. (1998). We note that this conversion is approximate as it depends on the source spectrum. The observed magnitudes were corrected for  $A_V$  derived in § 2.7.

### 3.2 The luminosity of YZ Ret at high energies

Here we consider the X-ray and  $\gamma$ -ray luminosities of YZ Ret and compare it to previously observed novae, considering order-of-magnitude estimates only. The following factors limiting the accuracy of luminosity measurements.

- The distances to previously observed novae are often not well constrained.
- The nova flux is changing over the course of its eruption. While the GeV and optical bands are often well covered by observations and one can estimate the peak or average flux, the observed X-ray flux is a strong function of the observation date – we know this from *Swift*/XRT monitoring, while in the harder *NuSTAR* band the best-covered lightcurve of V906 Car has only two epochs.
- The derived GeV and X-ray fluxes depend on the choice of the spectral model and different models have been used in the literature.

Note that while in § 3.5 we discussed monochromatic flux ratios, here we discuss luminosities integrated over the specific energy bands.

Integrating the exponentially cut-off power law that fits the *Fermi*/LAT spectrum (§ 2.2) and relying on the *Gaia* distance (§ 2.7), we estimate the average 0.1–300 GeV luminosity of YZ Ret over its  $\gamma$ -ray bright period to be  $1.2 \times 10^{35} \text{ erg s}^{-1}$ . Scaling this to the photon flux at peak and at the *NuSTAR* epoch (assuming the spectrum does not change) we obtain the peak luminosity of  $3.3 \times 10^{35} \text{ erg s}^{-1}$  and the luminosity during the *NuSTAR* observation of  $1.4 \times 10^{35} \text{ erg s}^{-1}$ . As the *Fermi* upper limit to the flux at 0.05–0.1 GeV is well below the value from the extrapolation

**Table 2.** YZ Ret luminosity

Band	Luminosity
$\gamma$ -ray/optical peak at $t_0 + 3.6 \text{ d}$ :	
0.1–300 GeV	$3.3 \times 10^{35} \text{ erg s}^{-1}$
bolometric optical	$8.1 \times 10^{38} \text{ erg s}^{-1}$
<i>NuSTAR</i> epoch at $t_0 + 10 \text{ d}$ :	
0.1–300 GeV	$1.4 \times 10^{35} \text{ erg s}^{-1}$
3.5–78 keV	$1 \times 10^{33} \text{ erg s}^{-1}$
extrapolated 0.3–78 keV	$2 \times 10^{33} \text{ erg s}^{-1}$
bolometric optical	$2.7 \times 10^{38} \text{ erg s}^{-1}$

of the power law fit (Fig. 2), the  $\gamma$ -ray spectrum is consistent with a substantial drop toward lower energies, so that the 0.1–300 GeV luminosity may well be representative of the total  $\gamma$ -ray luminosity of the nova. The luminosity estimates at different epochs and bands are summarized in Table 2.

The GeV luminosity of YZ Ret is about an order of magnitude lower than that of the brightest known  $\gamma$ -ray nova, V906 Car (Aydi et al. 2020a), and a factor of 5 lower than that of V5855 Sgr (Nelson et al. 2019). Taking the *Fermi*/LAT photon fluxes and distances for  $\gamma$ -ray-detected novae from Gordon et al. (2021) and applying the same photon to energy conversion factor as we adopted for YZ Ret (assuming the other novae have the same spectrum as YZ Ret) we find a median  $> 100 \text{ MeV}$  luminosity of  $2 \times 10^{35} \text{ erg s}^{-1}$ , close to that of YZ Ret. The lowest-luminosity detected GeV nova in the Gordon et al. (2021) sample (V1369 Cen, which is also the most nearby, § 3.4; Cheung et al. 2016) has the luminosity an order of magnitude lower than YZ Ret. V549 Vel may be a few times fainter than V1369 Cen, however there are questions about the reliability of its distance (and hence luminosity; Li et al. 2020a).

Integrating the thermal plasma model that fits the *NuSTAR* spectrum of YZ Ret in the 3.5–78 keV energy range we obtain an intrinsic X-ray luminosity of  $1 \times 10^{33} \text{ erg s}^{-1}$ . Extrapolating from the model down to a low-energy limit of 0.3 keV, the resulting luminosity increases by a factor of two. It is hard to say how representative these values are of the total X-ray energy output of the nova, as soft X-rays are completely hidden by the intrinsic absorption at the time of the *NuSTAR* observation. A very bright emission component can, in principle, be completely hidden from view if it is sufficiently soft to provide no detectable contribution above 3.5 keV in the *NuSTAR* band (§ 3.5; Sokolovsky et al. 2020a). The SSS emission from the white dwarf is an obvious example, but there might be other shock-related emission components hidden at low energies.

The shock-powered X-ray luminosity derived from the *NuSTAR* observation of YZ Ret is comparable to that of GeV-bright novae observed by *Swift* and analyzed by Gordon et al. (2021). Comparing to *NuSTAR*-observed novae, YZ Ret is an order of magnitude fainter than V906 Car (Sokolovsky et al. 2020a) and a factor of 8 fainter than V5855 Sgr (Nelson et al. 2019).

### 3.3 Comparing X-ray properties of YZ Ret to nova-quiet systems

The pre-eruption X-ray upper limits indicate that the nova has brightened at least an order of magnitude by the time of the post-eruption *XMM-Newton* observation (§ 2.5). We compare the X-ray properties of YZ Ret 10 to 78 days after the nova eruption to the more nearby (and, therefore, brighter) non-nova cataclysmic variables. Zemko et al. (2014) examined X-ray properties of four VY Scl variables whose spectra are described with two thermal plasma components (one with  $kT \lesssim 1$  keV and the other with  $kT \gg 1$  keV), sometimes requiring super-solar abundances. The physical interpretation of the two components is unclear. It is likely that the true emission is from a multi-temperature plasma, while the two-temperature model is just the next simplest thing after the single-temperature model and provides an acceptable description of the data just because of the low photon statistic.

No SSS emission (which would indicate continuous nuclear burning) was found in VY Scl systems observed by Zemko et al. (2014). The nova explosion itself in YZ Ret and the subsequent emergence of the SSS (§ 3.7; Sokolovsky et al. 2020c) disfavor the suggestion by Greiner & Teeseling (1998); Greiner et al. (1999, 2001); Greiner (2000) and Honeycutt (2001) that continuous nuclear burning is a common feature of VY Scl systems (in accordance with the results of Greiner et al. 2010; Zemko et al. 2014).

Combining the unabsorbed flux estimates of VY Scl type systems reported by Zemko et al. (2014), Greiner et al. (2010) and Page et al. (2014) with the *Gaia* distances (Bailer-Jones et al. 2018), we estimate the typical luminosity of VY Scl systems to be  $\sim 10^{32}$  erg s $^{-1}$ , about an order of magnitude lower than the post-nova emission of YZ Ret. The X-ray luminosity of individual VY Scl type systems varies with time and, possibly, with their optical (high/low) state.

YZ Ret can also be compared to the old nova high accretion rate system V603 Aql (Nova Aquilae 1918) observed in 2001 by *Chandra* and *RXTE*. Mukai & Orio (2005) found that V603 Aql displays strong irregular variability on timescales of a few ks, the 1–7 keV luminosity  $\sim 10^{32}$  erg s $^{-1}$  and the spectrum described by the cooling flow model.

As expected, the X-ray spectra of YZ Ret during its nova eruption (bright single-temperature optically thin thermal emission joined later by the super-soft component) clearly distinguish it from the quiescent spectra of similar systems that did not show a nova outburst in recent decades. Therefore, the X-ray emission we observe in YZ Ret is related to the nova event rather than any accretion-related phenomena (for a detailed discussion of accretion-powered X-rays see Mukai 2017; Balman 2020; Sun et al. 2020).

### 3.4 The mechanisms of X-ray and $\gamma$ -ray emission

Models for power law emission (`powerlaw`), thermal bremsstrahlung (`brems`; Kellogg et al. 1975), and thermal plasma emission (`vapac`; Brickhouse et al. 2005) all fit the observed *NuSTAR* spectrum well (§ 2.3, Table 1), if we allow for non-solar abundances of the absorber `vphabs` (Balucinska-Church & McCammon 1992). The intrinsic X-ray emission spectrum in the *NuSTAR* band is essentially

smooth and featureless, with few clear signposts allowing us to differentiate between emission models.

Comptonization of the radioactive MeV lines (see § 1.3; Livio et al. 1992; Suzuki & Shigeyama 2010; Hernanz 2014) should produce a flat or rising spectrum below 100 keV according to Gomez-Gomar et al. (1998). Nelson et al. (2019) argue that the Compton optical depth in a nova is insufficient to produce a detectable hard X-ray flux via this mechanism. Therefore, we rule out Comptonization as the mechanism behind the X-ray emission of YZ Ret.

The low-energy extension of the energy distribution of particles responsible for the  $\gamma$ -ray emission should give rise to `powerlaw` emission in the hard X-ray band. Vurm & Metzger (2018) investigate this possibility and predict the spectral energy distribution  $\nu F_\nu \propto \nu^{0.8}$  to  $\nu^{1.0}$  ( $\Gamma = 1.2$  to  $1.0$ ; § 1.4) at energies  $\gtrsim 10$  keV. The photon index for the power law fit is soft,  $\Gamma = 3.3 \pm 0.7$  (the power law index of  $-1.3$  in  $\nu F_\nu$  units, § 1.4; Table 1). The observed spectral slope in the *NuSTAR* band (Table 1) is inconsistent with this prediction. It appears likely that the power law model with its soft photon index and high absorbing column just mimic the intrinsically curved bremsstrahlung spectrum resulting in a good fit.

Finally, we should mention the possibility of synchrotron emission reaching all the way to hard X-rays and manifesting itself as a soft power law. This seems unlikely as no signs of synchrotron emission in novae were reported at frequencies above the radio band. Generating such emission would require a very high shock magnetization. Particles emitting synchrotron X-rays would also emit  $> 10$  GeV  $\gamma$ -rays in the hadronic scenario, in contradiction with the observed cut-off around 2 GeV (§ 2.2).

In summary, we suggest that all the emission observed from YZ Ret by *NuSTAR* is thermal based on the following two considerations:

- The power law fit to the *NuSTAR* spectrum results in a soft photon index, while the theory predicts hard spectra for both Comptonization of MeV line emission and the low-energy extension of the  $\gamma$ -ray spectrum (Gomez-Gomar et al. 1998; Vurm & Metzger 2018).
- The thermal plasma model was clearly preferred over the power law fit for a brighter *NuSTAR* nova V906 Car (Sokolovsky et al. 2020a), and we expect similar emission mechanisms across novae.

It is conceivable that some non-thermal emission is mixed into mostly thermal emission as discussed in § 2.3 (model with two emission components in Table 1), but we have no observational evidence to support this possibility.

Vurm & Metzger (2018) make another important prediction: there should be a lower limit on the ratio of *non-thermal* X-ray to  $\gamma$ -ray fluxes and this limit depends on the  $\gamma$ -ray emission mechanism. The predicted monochromatic flux ratios in  $\nu F_\nu$  units are  $L_X/L_\gamma > 10^{-3}$  for the leptonic model and  $L_X/L_\gamma > 10^{-4}$  for the hadronic model. As no *non-thermal* X-rays were detected by *NuSTAR* while the GeV  $\gamma$ -rays were observed by *Fermi*/LAT, we can constrain the value of this ratio for YZ Ret and compare it to the previously observed novae (Table 3). To compute the upper limit on the non-thermal monochromatic flux at 20 keV we use the parameters of the `powerlaw` component in the model

**Table 3.** X-ray to  $\gamma$ -ray monochromatic flux ratio in  $\nu F_\nu$  units

Nova	(total $L_{20\text{keV}}/L_{100\text{MeV}}$ )	(nonthermal $L_{20\text{keV}}/L_{100\text{MeV}}$ )	Reference
V339 Del	$< 4.0 \times 10^{-3}$	$< 4.0 \times 10^{-3}$	Vurm & Metzger (2018)
V5668 Sgr	$< 1.7 \times 10^{-3}$	$< 1.7 \times 10^{-3}$	Vurm & Metzger (2018)
V5855 Sgr	0.017	$< 1 \times 10^{-3}$	Nelson et al. (2019)
V906 Car	0.020	$< 5 \times 10^{-4}$	Sokolovsky et al. (2020a)
YZ Ret	$7.0 \times 10^{-3}$	$< 4 \times 10^{-3}$	this work

constant\*phabs\*vphabs (vapec+powerlaw) (Table 1):

$$\nu F_\nu = C_{\text{erg/keV}} K E_{\text{keV}}^{2-\Gamma}, \quad (4)$$

where  $C_{\text{erg/keV}} = 1.60218 \times 10^{-9}$  is the conversion factor from keV to erg,  $K = (7.06 \pm 0.01) \times 10^{-3}$  photons keV $^{-1}$  cm $^{-2}$  s $^{-1}$  at 1 keV ( $8 \times 10^{-6}$  photons keV $^{-1}$  cm $^{-2}$  s $^{-1}$  at 1 keV) is the prefactor in the `powerlaw` component of the power law (power law plus thermal) model in Table 1,  $\Gamma$  is the photon index listed in that table,  $E_{\text{keV}} = 20$  keV, cf. Eqn. (2). The corresponding monochromatic flux at 100 MeV is computed using Eqn. (2) in § 2.2. The derived upper limit on (nonthermal  $L_{20\text{keV}}/L_{100\text{MeV}}$ ) for YZ Ret is consistent with both leptonic and hadronic models, while the observations of V5855 Sgr and V906 Car are consistent only with the hadronic scenario.

### 3.5 $L_X/L_\gamma$ and the missing thermal X-ray flux

While Vurm & Metzger (2018) discuss *non-thermal* hard X-ray emission associated with the  $\gamma$ -ray emitting particle population, Metzger et al. (2015) consider *thermal* X-ray emission of the shock responsible for accelerating these particles. Metzger et al. (2015) predict bright thermal X-rays that accompany the  $\gamma$ -rays. For a radiative shock that accelerates particles with an expected efficiency of  $\lesssim$  few percent, thermal X-ray emission should be  $\gtrsim$  1–2 orders of magnitude brighter (in  $\nu F_\nu$  units) than the GeV emission. The observed X-ray luminosity is instead 0.007  $L_\gamma$ , as measured in the simultaneous *Fermi*/LAT and *NuSTAR* observations (Table 3).

For the high densities present early in a nova eruption, a large fraction of the X-ray radiation is absorbed and then re-emitted at longer wavelengths. From the shape of the *NuSTAR* spectrum, we estimate how much radiation was absorbed and use the unabsorbed (intrinsic) X-ray luminosity to calculate the  $L_X/L_\gamma$  ratio. The uncertainty in  $N_H$  resulting from uncertain elemental abundances of the neutral absorber (Table 1; § 2.3; § 3.9) has less than 1% effect on  $L_X$  as we estimate it at 20 keV where the absorption is small.

Studies which simulate particle acceleration at shocks find that at most 20% of the shock power goes into non-thermal particles (Caprioli & Spitkovsky 2014), which effectively sets a lower limit of 5 on the  $L_X/L_\gamma$  ratio. The modeling of Steinberg & Metzger (2018) suggests that the corrugated geometry of the shock front may suppress X-ray emission by an order of magnitude for the same particle acceleration efficiency. The X-ray emission may also be Compton scattered away from the line of sight, if the nova ejecta are highly

non-spherical (Nelson et al. 2019), further lowering the ratio by maybe an order of magnitude. However, even acting together these effects cannot explain the X-ray emission in the *NuSTAR* band being two to three orders of magnitude *fainter* than the GeV emission. The observed  $L_X/L_\gamma$  ratio measured from the simultaneous *Fermi*/LAT and *NuSTAR* observations of YZ Ret and other novae is presented in Table 3.

Absorption, corrugated shock front geometry and Compton scattering in an asymmetric shell cannot account for the observed  $L_X/L_\gamma$  ratio. We are forced to assume that either the shock spends most of its energy on something other than X-ray radiation, such as adiabatic losses or unexpectedly efficient particle acceleration. Alternatively, the shock responsible for the X-rays observed by *NuSTAR* is not the same shock that accelerates the  $\gamma$ -ray emitting particles. We discuss these possibilities further in the following paragraphs.

Steinberg & Metzger (2018, 2020) point out that there are two distinct channels for **adiabatic losses**. The first is the usual conversion of thermal energy into kinetic energy of the expanding gas. The second channel appears as the corrugated shock front has two phases of gas, a cold dense phase and a hot dilute phase. In the turbulence behind the shock front, the hot gas can transfer some of its thermal energy to the cold phase in what are technically also adiabatic losses. This energy is then radiated by the cold phase at long wavelengths (optical). This is a different mechanism to emit optical radiation than reprocessing X-ray emission from the hot phase as the energy transfer from the hot to cold phase is not done via X-ray emission/absorption. The shock energy transferred through this channel will not contribute to the unabsorbed X-ray luminosity  $L_X$  that we derive from the X-ray spectrum analysis, but it is questionable if *most* of the shock energy can be transferred this way.

In principle, one can imagine that shocks in novae are somehow especially **efficient at accelerating particles** compared to shocks in supernova remnants. One possibility is that a shock-accelerated particle interacting with the surrounding matter in dense environment of a nova shock may produce secondary particles. The secondary particles may have sufficient energies to be picked up by the acceleration process. Such avalanche effect may provide an ‘infinite’ supply of seed particles injected into diffusive shock acceleration. The idea is similar to runaway electron production mechanisms (Gurevich et al. 1992; Dwyer 2012) thought to be responsible for terrestrial  $\gamma$ -ray flashes (e.g. Mailyan et al. 2016) and  $\gamma$ -ray glows (e.g. Wada et al. 2021). However, this ‘shock spending most of its energy accelerating particles’ scenario does not account for the observations of correlated

$\gamma$ -ray/optical variability in V5856 Sgr and V906 Car that together with the  $\gamma$ -ray/optical flux ratio suggested that most of the shock energy is eventually radiated in the optical band (Li et al. 2017; Aydi et al. 2020a).

A **second shock**, different from the one responsible for the *NuSTAR*-detected emission, traveling at a velocity of a few hundred  $\text{km s}^{-1}$  may accelerate particles that produce *Fermi*/LAT-detected  $\gamma$ -rays. The low temperature of this second shock would put the associated X-ray emission below the *NuSTAR* band according to Eq. (5). Such a low-temperature shock may be completely invisible to *NuSTAR*. Vlasov et al. (2016) mention the possibility that different shocks may be responsible for emission observed in different bands, or even in the same band at different times. Multiple optically thin thermal emission components (that may correspond to multiple shocks) are observed in some classical novae (Nelson et al. 2021), but not in others (Sokolovsky et al. 2020a) (multi-temperature emission is commonly observed in novae with an evolved donor; Nelson et al. 2008, 2012; Orio et al. 2013, 2015, 2021). *Swift*/XRT 0.3–10 keV observations of YZ Ret were fit with a single-temperature thermal plasma emission until the emergence of the SSS (Sokolovsky et al. 2020c). However, the more novae are observed by *NuSTAR*, the harder it becomes to support this somewhat contrived scenario of multiple shocks as the explanation for the low  $L_X/L_\gamma$  ratio.

### 3.6 Location of the shocked region

Determining the location of the shocked region(s) within the nova ejecta is important to draw an accurate physical picture of the eruption and, specifically, to estimate the influence of  $\gamma$ -ray opacity on the observed GeV spectrum (Metzger et al. 2016). The  $\gamma$ -rays may be absorbed via Bethe-Heitler photo-nuclear pair production (the same process used by *Fermi*/LAT to detect  $\gamma$ -rays) and Breit-Wheeler  $\gamma\gamma$  pair production.

The X-ray flux approximately doubled over the 120 ks duration of the *NuSTAR* observation (Fig. 4; a weighted linear fit to the lightcurve results in a countrate ratio at time 120 ks to time 0 of  $2.0 \pm 0.2$ ). We can take this as an estimate of the variability timescale associated with the shock, if we attribute the X-ray emission to the shock-heated plasma (§ 3.4). The post-shock temperature ( $T_{\text{shock}}$ ) can be related to the shock velocity ( $v_{\text{shock}}$ ) for a strong shock propagating in monoatomic gas (with polytropic exponent 5/3):

$$kT_{\text{shock}} = \frac{3}{16} \mu m_p v_{\text{shock}}^2 \quad (5)$$

(Equation [4] of Vlasov et al. 2016), where  $m_p$  is the proton mass,  $k$  is the Boltzmann constant, and  $\mu$  is the mean molecular weight. This relation is derived from the Rankine–Hugoniot jump conditions that follow from conservation of mass, momentum, and energy. Here we neglect the shock energy losses on particle acceleration (Tatischeff & Hernanz 2007). For a fully ionized gas with solar abundances (Asplund et al. 2009)  $\mu = 0.60$ , while the composition derived for V906 Car by Sokolovsky et al. (2020a) implies  $\mu = 0.74$ . Assuming the V906 Car abundances and temperature derived from the *NuSTAR* observation (Table 1), we find  $v_{\text{shock}} \simeq 2000 \text{ km s}^{-1}$ . Multiplying  $v_{\text{shock}}$  by the variability timescale, we constrain the shocked region size at  $t_0 + 10 \text{ d}$

(the date of the *NuSTAR* observation) to be less than 1.6 au. The upper limit on the shocked region size allows it to be larger than the binary separation and the optical photosphere (§ 3.1).

At  $t_0 + 82 \text{ d}$  *NICER* observed irregular variations on a timescale of kiloseconds in soft X-rays (Pei et al. 2020), corresponding to the size of  $> 0.01 \text{ au}$  for the velocities of 1000 km/s. This variability is in the super-soft emission that is directly related to the white dwarf (and attributed to changes either in emission or absorption in the vicinity of the white dwarf).

Finally, we mention the possibility that rather than having one shock (or a pair of forward and reverse shocks) at the interface between the fast and slow components of the nova outflow (Chomiuk et al. 2014a; Aydi et al. 2020b), multiple shocks associated with individual dense clumps within the nova ejecta may be responsible for the high-energy emission. This is the mechanism thought to produce X-rays in early-type stars (e.g. sec. 4 of Güdel & Nazé 2009) and similar clumps should form in nova ejecta (Shaviv 2001a,b). If many clumps emit simultaneously, the fast variability associated with individual clumps may average out. The ratio of X-ray to bolometric optical luminosity of O-type stars is  $\sim 10^{-6}$  (fig. 6 of Chlebowski & Garmany 1991), comparable to what we find in YZ Ret (§ 3.1, 3.2), but single early-type stars are not known to emit  $\gamma$ -rays. An argument against this multiple-clumps/multiple-shocks scenario is that the *NuSTAR* spectra of YZ Ret, V906 Car and V5855 Sgr are consistent with single-temperature plasma, while for multiple shocks/clumps one may expect a range of temperatures.

### 3.7 Line-dominated SSS emission

At low spectral resolution, the SSS component in novae is often approximated as a blackbody (e.g. Schwarz et al. 2011). However, grating spectra have revealed two types of SSS: the ones dominated by a blackbody-like continuum, and in some cases modified by absorption lines, and the ones dominated by emission lines (Ness et al. 2013). The emission-line-dominated SSS (U Sco; Ness et al. 2012), are interpreted as originating in high-inclination systems (viewed edge-on), where the white dwarf is obscured by the accretion disk (that survives or gets quickly re-formed following the nova eruption; Figueira et al. 2018). The *XMM-Newton*/RGS spectra obtained at  $t_0 + 77.6 \text{ d}$  (Fig. 5) firmly place YZ Ret in the latter category, implying it is a high-inclination system.

The extremely soft emission-line-dominated spectrum of YZ Ret was also observed with *Chandra* by Drake et al. (2020) on  $t_0 + 115 \text{ d}$ . The line-dominated nature of YZ Ret's SSS spectrum is apparent only with X-ray grating spectroscopy. The low resolution EPIC spectrum could have been easily mistaken for a blackbody. Since the emission-line spectrum is likely created by scattering of the primary (blackbody-like) emission by a medium that has a temperature similar to that of the stellar surface (as shown by the mirror image absorption/emission lines), the result is a spectrum that looks blackbody-like at low spectral resolution.

### 3.8 Jets in YZ Ret?

Flows of plasma collimated to an opening angle of  $\lesssim 10^\circ$  often producing non-thermal emission are known as

jets. Jets power astrophysical phenomena emitting in a very wide range of the electromagnetic spectrum, from  $\gamma$ -rays to radio: blazars, microquasars and  $\gamma$ -ray bursts (Romero et al. 2017; Kumar & Zhang 2015). The exceptions (non-jetted phenomena observed across the electromagnetic spectrum) are colliding wind binaries (Pshirkov 2016), the Galaxy (Gaggero et al. 2015), the Sun (Abdo et al. 2011; Ajello et al. 2021) and Earth (Dwyer et al. 2012; Madlee et al. 2020). One may ask if jets play a role in novae?

There were several reports of jets in novae based on optical spectral line profile studies (Kato & Hachisu 2003; Iijima & Esenoglu 2003; Darnley et al. 2017) as well as radio (Davis et al. 1988; Sokolowski et al. 2008; Rupen et al. 2008; Giroletti et al. 2020) and X-ray (Toalá et al. 2020) imaging. Some earlier claims of observations of nova jets were later disputed (O’Brien & Cohen 1998; Harvey et al. 2016). It is actively debated if non-nova cataclysmic variables have jets (Coppejans & Knigge 2020).

McLoughlin et al. (2021a) argue that the H  $\alpha$  line profile in YZ Ret can be explained as a sum of emission from the approaching and receding jets and the accretion disk. The authors assume that the contribution from the non- or weakly-collimated ejecta to the total line flux is small. McLoughlin et al. (2021b) offer the similar line profile interpretation for other novae.

The observations discussed in the present paper do not allow us to deduce the ejecta geometry, however the following considerations seem to disfavor the jet scenario. First, the line-dominated SSS (§ 3.7) indicates that YZ Ret is a high-inclination system. So the jets need to be fast in order to produce the high-velocity emission line components while being aligned nearly perpendicular to the line of sight. Second, if the X-ray emitting shocks are produced by collision of individual blobs of material traveling down the jet with various speeds (as suggested by McLoughlin et al. 2021a), it is hard to understand why the spectrum is consistent with being single-temperature, and why there is no fast variability. In the colliding blobs scenario, one could expect variability on a timescale of the blob size over the blob collision velocity. The blob size should be of the order of the jet width. Instead, the observed variability timescale and the shock velocity (derived from the shock temperature) suggest a large emitting region (§ 3.6).

A large single-temperature shocked region seems to fit more naturally into the scenario of a slow equatorial outflow (possibly ejected via the common envelope interaction during the nova eruption) with the fast wind (accelerated by the white dwarf radiation) – the scenario favored by Chomiuk et al. (2014a, 2020) and Aydi et al. (2020b). In this scenario, the shock is formed at the interface between the fast and slow flows while multiple ejections with different velocities and the complex shape of the ejecta formed via their interaction are responsible for the complex optical line profiles. In a sense, the question of the existence of jets is about the degree of collimation that can be achieved by the fast flow: an opening angle of a few degrees for a jet or a few tens of degrees for a bipolar outflow. We speculate that the presence of particle-accelerating shocks, rather than the presence of these shocks specifically in the highly-collimated jets, may be the physical mechanism unifying the high-energy to radio emitting phenomena listed above.

### 3.9 Ejecta abundances and the white dwarf composition

Optical, infrared and X-ray spectra indicate that nova ejecta are typically enriched in heavy elements that must be eroded from the white dwarf (e.g. Gehrz et al. 1998; Helton et al. 2012; Sokolovsky et al. 2020a). Thermonuclear burning in the nova proceeds through the hot carbon-nitrogen-oxygen (CNO) cycle (Wiescher et al. 2010), and may change the relative abundances of C, N and O, but will not increase the total abundance of CNO relative to other elements (Starrfield et al. 1972; Truran & Livio 1986).

The *XMM-Newton*/RGS spectra (§ 2.5; Fig. 5) of YZ Ret show no signs of Ne and Mg emission lines that would normally fall into the RGS band. Such lines are visible in the X-ray grating spectra of V382 Vel (Ness et al. 2005), U Sco (Ness et al. 2012), V959 Mon (Nelson et al. 2021) and V3890 Sgr (Orio et al. 2020). Instead, the RGS spectra are dominated by emission lines of C and N, suggesting that the white dwarf in the YZ Ret system may be of CO composition rather than ONeMg. The ONeMg composition for YZ Ret was suggested by Izzo et al. (2020) who detected optical lines [Ne III] 3342 Å and [Ne V] 3426 Å. It is possible that the Ne detected in the optical spectrum is associated with the material accreted from the companion star (or ablated from the companion star during eruption?) rather than with the white dwarf material. A CO white dwarf may have non-zero Ne content on its own (Fields et al. 2016). It cannot be excluded that Ne and Mg lines are not visible in the X-ray spectrum due to the low temperature of the ionizing radiation from the white dwarf, as Ne and Mg have higher ionization potential than C and N. An ONeMg white dwarf may have a CO envelope, so the presence of C emission does not exclude the ONeMg scenario.

*NuSTAR* spectra rule out solar abundances, but are consistent with Fe-deficient and/or NO-overabundant plasma (§ 2.3). Accretion of low-metallicity material from the secondary is a possibility given the high elevation above the Galactic disk (§ 2.7), suggesting the system belongs to an old stellar population. But the composition of the accreted matter is not the only possible source of iron deficiency. Heavy elements, including Fe, are expected to sink below the surface of a white dwarf and they sink faster the hotter the white dwarf is (Koester 2009; Kepler et al. 2016). If a large portion of the ejecta originates on the white dwarf, it is natural to expect it may be both Fe-deficient and CNO-overabundant. This is exactly what was found in the *XMM-Newton* spectroscopy of V906 Car (Sokolovsky et al. 2020a).

### 3.10 Ejecta mass

One can use the column density, expressed in  $N_{\text{H}}$  and derived from the X-ray spectral fitting (§ 2.3), to estimate the nova ejecta mass under a set of assumptions. We assume that the source of hard X-rays is embedded deep within the ejecta (shining through most of it). The ejecta ahead of the shock (absorbing the X-ray emission) is neutral or weakly ionized, as atoms stripped of all their electrons will not contribute to photoelectric absorption. A spherical absorbing shell is ejected at  $t_0$  and expands with velocities ranging from  $v_{\text{min}}$  to  $v_{\text{max}}$ . The ejecta are distributed with a density profile  $\propto r^{-2}$  (e.g., Bode & Evans 2008). This is the ‘Hubble flow’ model often used to describe thermal radio emission of novae

(Weston et al. 2016a,b; Finzell et al. 2018, e.g.). Following Chomiuk et al. (2014b), we assume  $v_{\min} = 0.2v_{\max}$ .

Our assumptions about the ejecta abundances (§ 3.9) have a dramatic effect on the derived column density (§ 2.3), with the true value likely lying somewhere between the two extremes listed in Table 1. Aydi et al. (in prep.) identify two flows on the basis of optical spectroscopy of YZ Ret: the fast flow with velocity of  $2700 \text{ km s}^{-1}$  and an intermediate flow with velocity of  $1200 \text{ km s}^{-1}$ . We do not know which of the two flows carries the most mass. Combining the assumptions about column density (abundances) and maximum ejecta velocity for the flow that carries the most mass, we end up with estimates of the ejected mass of hydrogen in the range  $2 \times 10^{-6} M_{\odot}$  ( $v_{\max} = 1200 \text{ km s}^{-1}$ ,  $N_{\text{H}} = 7.3 \times 10^{22} \text{ cm}^{-2}$ ) to  $2 \times 10^{-4} M_{\odot}$  ( $v_{\max} = 2700 \text{ km s}^{-1}$ ,  $N_{\text{H}} = 131.3 \times 10^{22} \text{ cm}^{-2}$ ). The hydrogen mass should be multiplied by a factor of 1.90 for the abundances of nova V906 Car (Sokolovsky et al. 2020a), or a factor of 1.36 for the solar abundances of Asplund et al. (2009).

Our final estimate of the ejecta mass in YZ Ret is  $\sim 4 \times 10^{-5} M_{\odot}$ , with an order of magnitude uncertainty, as described above. The ejecta mass derived for V906 Car by Sokolovsky et al. (2020a) using the same technique falls in the middle of the range allowed for YZ Ret, so we speculate that the ejected mass in the two novae may be comparable.

Comparing the X-ray absorption-based ejecta mass estimate to theoretical expectations and ejecta mass estimates made via other methods, we can check where the X-ray emitting shock is located relative to the bulk of the ejecta. If the column ahead of the shocks is high (ejecta mass  $\sim 10^{-4} M_{\odot}$ ), then the shocks are likely embedded behind the bulk of the ejecta (since nova ejecta masses are strained to go above  $\sim 10^{-4} M_{\odot}$ ; Yaron et al. 2005). On the other hand, if the column implies the ejecta mass  $\lesssim 10^{-7} M_{\odot}$ , then the observed emission may be dominated by X-rays escaping from a select few directions with a particularly low column. The ejecta mass range estimated above seems to support the former picture.

## 4 CONCLUSIONS

We conducted a joint analysis of *Fermi*/LAT, *NuSTAR* and *XMM-Newton* observations of a bright Galactic nova YZ Ret. The luminosity of YZ Ret (Table 2) is well constrained thanks to a *Gaia* parallax measurement of the bright progenitor: a VY Scl type novalike variable with hot accretion disk. The luminosity is similar to other GeV-bright novae (§ 3.2).

The nova X-ray emission observed by *NuSTAR* at  $t_0 + 10$  d is consistent with being single-temperature thermal (§ 3.4). The low (thermal  $L_{20\text{keV}}/L_{100\text{MeV}}$ ) ratio is at odds with the theoretical predictions (§ 3.5, Table 3, Metzger et al. 2015). The absence of non-thermal X-rays is consistent with both the leptonic and hadronic scenarios for the production of  $\gamma$ -rays detected by *Fermi*/LAT (§ 3.4).

From the variability timescale and shock velocity arguments, we constrain the shocked region size to be less than 1.6 au on  $t_0 + 10$  d (§ 3.6). No periodicities were identified in the arrival times of X-ray photons recorded by *NuSTAR* (§ 2.4) and *XMM-Newton* (§ 2.6). The shock-heated region must be associated with the expanding nova shell,

not a structure within the binary system (such as the white dwarf magnetosphere, accretion disk, bow shock of the donor star). The emission line dominated SSS spectrum observed with *XMM-Newton* at  $t_0 + 77.6$  d suggests YZ Ret is a high-inclination system (§ 3.7) with a CO white dwarf.

We use the intrinsic absorption affecting the *NuSTAR* spectrum to estimate an ejecta mass of  $4 \times 10^{-5} M_{\odot}$ , assuming the Hubble flow model (§ 3.10). The estimate is model-dependent and highly sensitive to the assumptions on the range of ejecta velocities, abundances, ejection time and location of the X-ray emitting region. This results in at least an order of magnitude uncertainty in the ejected mass estimate. Also the photoelectric-absorption based ejecta mass estimate does not account for any fully ionized material.

## DATA AVAILABILITY

The processed data underlying this work are available at the request to the first author. The raw data are publicly available at *NuSTAR*, *XMM-Newton* and *Fermi* science archives.

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